

2016

2016 12 31

2017 3 27

1

2017 3 24

2016 3 9

2016 12 31

## 2

## 2.1

	519941	
	2016 3 9	
	1, 855, 565, 322. 62	
	A	C
	A	C
	519941	519940
	941, 356, 038. 80	914, 209, 283. 82

## 2.2

	1
	2
	3
	4
	5
	6
	7
	8

## 2.3


---

	021-61009999	010-66105799
	zhouyg@xfund.com.cn	custody@icbc.com.cn
	4007005566	95588
	021-61009800	010-66105798

**2.4**

	www.xfund.com.cn
	68 9
	55

## 3

## 3.1

3.1.1	2016 3 9 ( )-2016 12 31	
	A	C
	28,583,679.90	24,701,516.33
	7,174,443.45	3,982,404.54
	0.0076	0.0044
	0.76%	0.44%
3.1.2	2016	
	0.0076	0.0044
	948,530,482.25	918,191,688.36
	1.0076	1.0044

1 2016 3 9

2

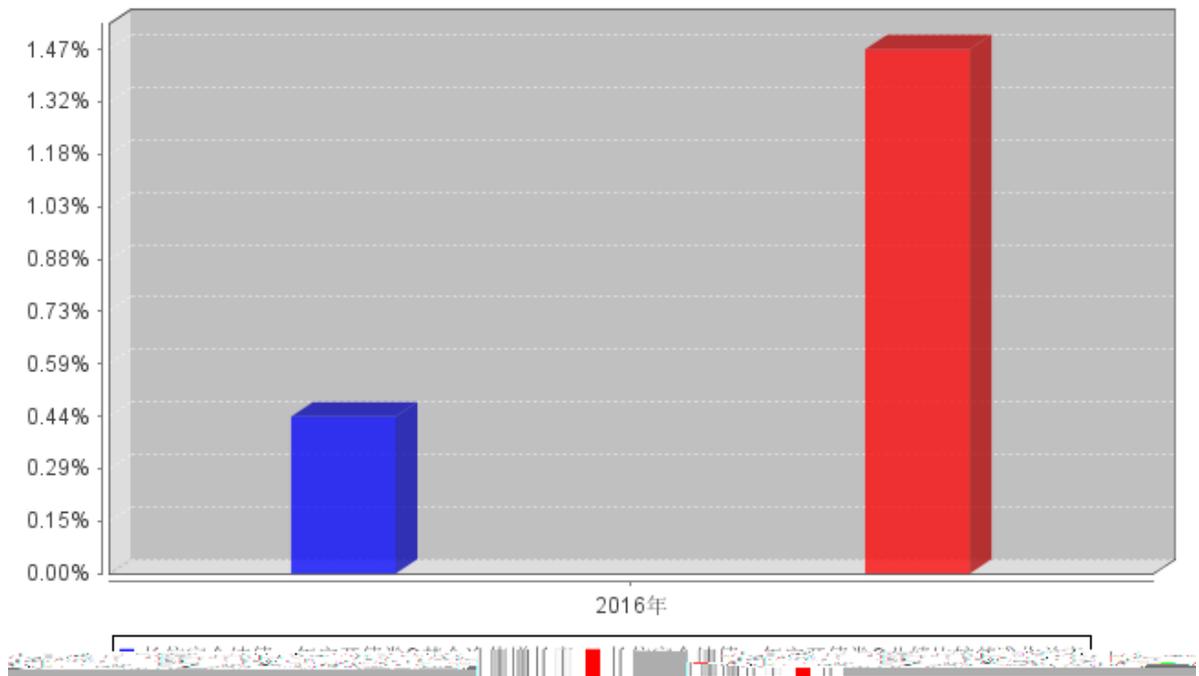
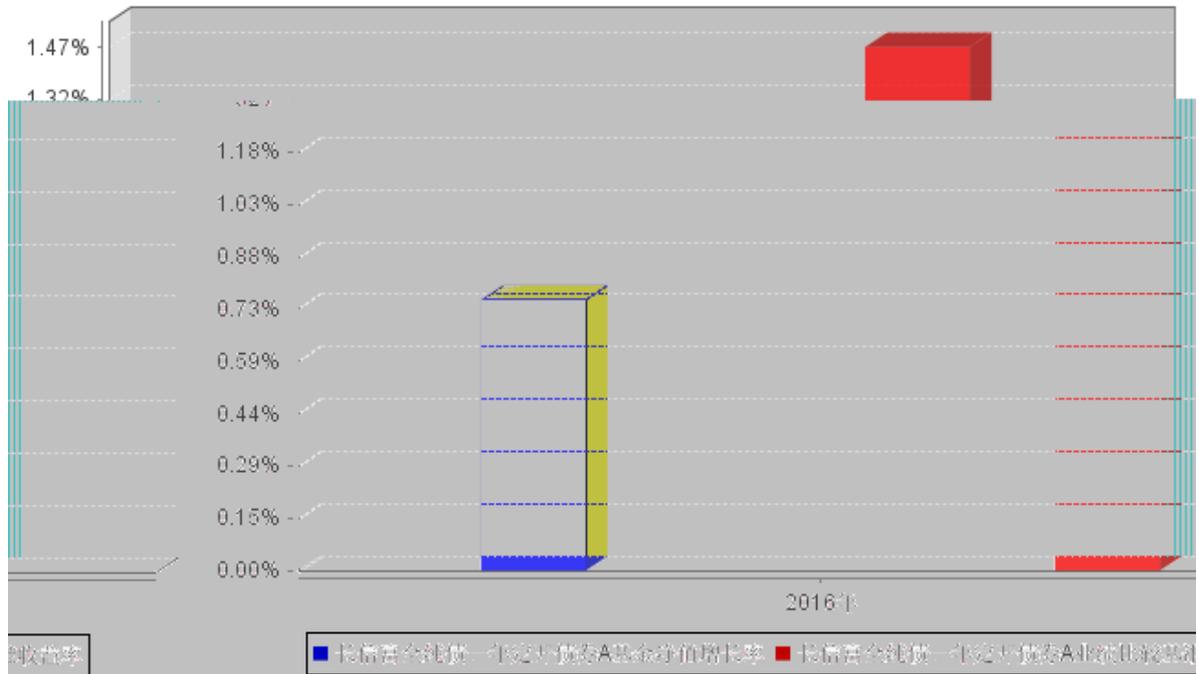
3

## 3.2

## 3.2.1



### 3.2.3



2016 3 9 2016

### 3.3

2016 3 9

**4**

**4.1**

**4.1.1**

2003 63

1.5

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2016 12 31

47

100

(LOF)

(LOF)

LOF

LOF

## 4.1.2

		2016 11 30	-	5	2016 5
		2016 3 9	2016 12 22	9	

1

/

2

## 4.2

### 4.3.2

### 4.3.3

5%

### 4.4

#### 4.4.1

2016 6%  
PPI  
10 11 12  
2016

#### 4.4.2

A 1.0076  
1.0076 A 0.76%  
1.47% C 1.0044 1.0044  
C 0.44% 1.47%

### 4.5

2017

**4.6**

2008 9 12 [2008]38

1/2

**4.7**

**4.8**

## 5

### 5.1

**6**

2016

1700206

## 7

## 7.1

2016 12 31

	2016 12 31
	3, 519, 341. 80
	9, 136, 363. 64
	39, 401. 82
	1, 796, 894, 540. 10
	-
	-
	1, 796, 894, 540. 10
	-
	-
	-
	16, 100, 000. 00
	-
	53, 499, 631. 18
	-
	-
	-
	-
	1, 879, 189, 278. 54
	2016 12 31
	-
	-
	-
	8, 500, 000. 00
	2, 000, 167. 78
	-
	1, 109, 295. 35
	316, 941. 54
	311, 818. 25
	7, 834. 09
	-
	5, 050. 92



4.	-	-
5.	-	-
		19,228,684.96
1		10,681,914.22
2		3,051,975.49
3		3,004,847.63
4		52,117.08
5		2,183,206.47
		2,183,206.47
6		254,624.07
	-	11,156,847.99
		-
	-	11,156,847.99

2016 3 9                      2016 12 31    2016 3 9

### 7.3

2016 3 9 (                      ) 2016 12 31

	2016 3 9 (                      ) 2016 12 31		
	1,855,565,322.62	-	1,855,565,322.62
		11,156,847.99	11,156,847.99
-		-	-
1.		-	-
2.		-	-
		-	-
-		-	-
	1,855,565,322.62	11,156,847.99	1,866,722,170.61

--	--	--	--

2016 3 9 2016 12 31

2016 3 9

7.1 7.4

\_\_\_\_\_

**7.4**

**7.4.1**

(  
( 2015 2772 )

2016 3 9

A

C

A

C

(

2016

80%

5%

**7.4.2**

(

XBRL

3 &lt;

&gt;

2012 11 16

**7.4.3**

2016 12

31

2016 3 9 ( ) 2016 12 31

**7.4.4****7.4.4.1**

1 1 12 31 (

2016 3 9 ( ) 2016 12 31 )

**7.4.4.2****7.4.4.3**

**7.4.4.4**

**7.4.4.5**

( )

**7.4.4.6**

**7.4.4.7**

**7.4.4.8**

/ (

**7.4.4.9 / ( )**

( )

( )

**7.4.4.10**

**7.4.4.11**

1

1

100%

2

A

C

3

4

5

**7.4.4.12**

**7.4.4.13**

( )

[2008]38

(AMAC)

[2007]21

< >

< >

2015 1

**7.4.5**

**7.4.5.1**

**7.4.5.2**

**7.4.5.3**

**7.4.6**

(1)

[1998]55

[2002]128

[2004]78

[2012]85

[2015]101

[2005]103

[2008]16

2008 9 18

[2008]1

[2016]36

[2016]140

[2017]2

[2015] 125

(a)

(b)

(c) 2016 5 1

,

,

2017 7 1

2017 7 1

2016 12 31

(d)

(e)

20% 2013 1 1  
 1 1 1  
 50% 1 2013 1 1 2015 9  
 7 25% 2015 9 8

(f)

, 10%  
 , 7%  
 ,

(g) 0.1%

(h) ( )

**7.4.7**



**7.4.8****7.4.8.1****7.4.8.1.1****7.4.8.1.2****7.4.8.1.3****7.4.8.1.4****7.4.8.1.5****7.4.8.2****7.4.8.2.1**

	2016 3 9 ( )	2016 12 31
		10,681,914.22
		4,191,411.32

0.70%

H

H

E

**7.4.8.2.2**

	2016 3 9 ( )	2016 12 31

	3,051,975.49
--	--------------

0.20%

H

H

E

**7.4.8.2.3**

	2016 3 9 ( ) 2016 12 31		
	A	C	
	-	168.35	168.35
	-	1,432,511.54	1,432,511.54
	-	1,301,405.98	1,301,405.98
	-	2,734,085.87	2,734,085.87

A

C

0.40%

C

0.40%

H

H C

E C

**7.4.8.3**

( )

( )

**7.4.8.4****7.4.8.4.1****7.4.8.4.2****7.4.8.5**

	2016 3 9 ( ) 2016 12 31		

	3, 519, 341. 80	649, 985. 67
--	-----------------	--------------

2016 12 31

9, 136, 363. 64

**7.4.8.6****7.4.8.7****7.4.9** 2016 12 31**7.4.9.1** /**7.4.9.2****7.4.9.3****7.4.9.3.1****7.4.9.3.2**

2016 12 31  
8, 500, 000. 00 2017 1 6

/

## 8

### 8.1

			%
1		-	-
		-	-
2		1, 796, 894, 540. 10	95. 62
		1, 796, 894, 540. 10	95. 62
		-	-
3		-	-
4		-	-
5		16, 100, 000. 00	0. 86
		-	-
6		12, 655, 705. 44	0. 67
7		53, 539, 033. 00	2. 85
8		1, 879, 189, 278. 54	100. 00

### 8.2

#### 8.2.1

#### 8.2.2

### 8.3

### 8.4

#### 8.4.1

**2%    20**

#### 8.4.2

**2%    20**

**8.4.3****8.5**

1		16,851,811.50	0.90
2		-	-
3		60,026,000.00	3.22
		60,026,000.00	3.22
4		1,699,974,728.60	91.07
5		20,042,000.00	1.07
6		-	-
7		-	-
8		-	-
9		-	-
10		1,796,894,540.10	96.26

**8.6**

1	1480102	14	600,000	64,440,000.00	3.45
2	1480329	14	600,000	63,774,000.00	3.42
3	1580073	15	600,000	63,594,000.00	3.41
4	1380196	13	600,000	62,214,000.00	3.33
5	1480583	14	600,000	62,028,000.00	3.32

**8.7****8.8****8.9**

**8.10**

**8.10.1**

**8.10.2**

**8.10.3**

**8.11**

**8.11.1**

**8.11.2**

**8.11.3**



## 9

## 9.1

	( )					
A	4,862	193,614.98	260,012,200.00	27.62%	681,343,838.80	72.38%
C	8,164	111,980.56	50,002.25	0.01%	914,159,281.57	99.99%
	13,026	142,450.89	260,062,202.25	14.02%	1,595,503,120.37	85.98%

## 9.2

--	--	--	--

## 10

	A	C
2016 3 9	941,356,038.80	914,209,283.82
	-	-
	-	-
:	-	-
"_"	-	-
	941,356,038.80	914,209,283.82

1

2016 3 9

2016 3 9

2016 12

31

2





<1998>29

[2007]48

1

a

b

c

d

2

12

2017 3 27