

100

2016 3

2016 9 30

2016 10 24

## 1

2016 10 20

2016 7 1 2016 9 30

## 2

## 2.1

	100	QDII
	100	
	519981	
	519981	
	2011 3 30	
	20,467,916.49	
	100	
	100	
	0.50%	
	7.94%	
		80%
		100
		15%

	100
	Bank of China(Hong Kong) Limited

### 3

#### 3.1

	2016 7 1 30	2016 9
1.		-65,016.15
2.		577,269.52
3.		0.0293
4.		21,998,907.26
5.		1.075

1

2

#### 3.2

##### 3.2.1

	3.07%	0.56%	3.78%	0.60%	-0.71%	-0.04%



						/
					Empyrean Partners	Capital
					5	2009
						100

1

/

2

4.2

4.3

4.3.1

4.3.2

5%

4.4

4.4.1

2016

	9	Fed		
7				
8				
	9		9	
			12	QE
9				
	FOMC	12	11	

4.4.2



## 5.2

	18,402,396.26	83.65
	18,402,396.26	83.65

## 5.3

## 5.3.1

	2,573,846.48	11.70
	2,000,036.89	9.09
	522,139.92	2.37
	359,144.44	1.63
	2,485,149.20	11.30
	2,438,674.14	11.09
	354,274.65	1.61
	3,212,854.57	14.60
	1,307,046.33	5.94
	2,607,862.73	11.85
	17,861,029.35	81.19

(GICS)

## 5.3.2

	537.90	0.00
	185,309.35	0.84
	355,519.66	1.62
	541,366.91	2.46

(GICS)

## 5.4

## 5.4.1

	( )	( )			( )			
1	KINDER		KMI US	NYSE		1,542	238,173.49	1.08



	MORGAN INC							
2	QUALCOMM INC		QCOM US	NASDAQ		501	229,172.08	1.04
3	BIOGEN INC		BIIB US	NASDAQ		109	227,848.34	1.04
4	MORGAN STANLEY		MS US	NYSE		1,057	226,293.41	1.03
5	INTEL CORP		INTC US	NASDAQ		843	212,509.30	0.97
6	AMAZON.COM INC		AMZN US	NASDAQ		38	212,472.77	0.97
7	CATERPILLAR INC		CAT US	NYSE		355	210,439.85	0.96
8	APPLE INC		AAPL US	NASDAQ		273	206,094.60	0.94
9	TEXAS INSTRUMENTS INC		TXN US	NYSE		436	204,330.53	0.93
10	BANK OF AMERICA CORP		BAC US	NYSE		1,953	204,103.28	0.93

#### 5.4.2

	( )	( )			( )			
1	DANAHER CORP		DHR US	NYSE		354	185,309.35	0.84
2	NEXTERA ENERGY INC		NEE US	NYSE		218	178,068.61	0.81
3	DUKE ENERGY CORP		DUK US	NYSE		332	177,451.05	0.81
4	PHILLIPS 66	Phillips 66	PSX US	NYSE		1	537.90	0.00

#### 5.5

5.6

5.7

5.8

5.9

5.10

5.10.1

5. 10. 5

5. 10. 5. 1

5. 10. 5. 2

5. 10. 6

6

	18,665,764.17
	3,641,793.41
:	1,839,641.09

"\_"

## 9

### 9.1

1

2

100

3

100

4

100

5

6

### 9.2

### 9.3

<http://www.cxfund.com.cn>

2016 10 24