

2015

2015 12 31

2016 3 26

1

1.1

2016 3 25

2015 1 1 2015 12 31

1. 2

§1	2
1. 1	2
1. 2	3
§2	5
2. 1	5
2. 2	5
2. 3	6
2. 4	6
2. 5	6
§3	7
3. 1	7
3. 2	8
3. 3	10
§4	12
4. 1	12
4. 2	14
4. 3	14
4. 4	15
4. 5	16
4. 6	16
4. 7	18
4. 8	18
4. 9	19
§5	20
5. 1	20
5. 2	20
5. 3	20
§6	21
6. 1	21
6. 2	21
§7	23
7. 1	23
7. 2	24
7. 3	25
7. 4	26
§8	55
8. 1	55
8. 2	55
8. 3	56
8. 4	58
8. 5	60
8. 6	61
8. 7	61
8. 8	61
8. 9	61
8. 10	61
8. 11	61
8. 12	62

§9	64
9.1	64
9.2	64
9.3	64
§10	65
§11	66
11.1	66
11.2	66
11.3	66
11.4	66
11.5	66
11.6	67
11.7	67
11.8	68
§12	73
12.1	73
12.2	73
12.3	73

2

2.1

	519977	
	2012 3 30	
	529,453,596.37	
	A	C
	CX A	CX C
	519977	519976
	391,598,575.67	137,855,020.70

2.2

	70%+ 20%+ 300 *10%

2015 8 7

70%+

20%+ 300

*10%

2.3

	021-61009969	0755-22168073
	zhouyg@cxfund.com.cn	Zhangbo743@pingan.com.cn
	4007005566	95511
	021-61009800	0755-82080406
	68 9	5047
	9 68	5047
	200120	518001

2.4

	www.cxfund.com.cn
	68 9 5047

2.5

		1 2 8
		17

3

3.1

3.1.1	A		
	2015	2014	2013
	169,017,694.72	163,069,253.74	381,495.39
	183,148,213.51	228,427,480.63	-2,341,525.12
	0.2924	1.3896	-0.0323
	19.57%	121.05%	-3.04%
	26.36%	95.11%	1.56%
3.1.2	2015	2014	2013
	-13,252,897.43	200,910,156.30	-7,343,413.70
	-0.0338	0.3985	-0.0803
	533,589,153.36	904,626,255.32	84,136,938.66
	1.3626	1.7944	0.9197
3.1.3	2015	2014	2013
	163.70%	108.69%	6.96%
3.1.1	C		
	2015	2014	2013
	58,057,495.14	36,748,588.81	3,480,664.12
	-21,674,115.07	59,365,744.89	431,47e* 43

3

3.2

3.2.1

3.2.1.1

A

(A)					-	-
	9.21%	0.90%	5.88%	0.97%	3.33%	-0.07%
	3.79%	1.27%	-11.35%	2.48%	15.14%	-1.21%
	26.36%	2.36%	-14.64%	2.40%	41.00%	-0.04%
	150.38%	1.72%	22.90%	1.51%	127.48%	0.21%
2012 3 30 -2015 12 31	163.70%	1.53%	27.25%	1.35%	136.45%	0.18%

3.2.1.2

C

(

	10				
2015	7.970	4,385,507,910.86	146,921,006.82	4,532,428,917.68	
2014					
2013	1.630	342,054,613.12	323,953.27	342,378,566.39	
	9.600	4,727,562,523.98	147,244,960.09	4,874,807,484.07	

3.3.2**C**

	10				
2015	7.540	51,412,411.66	24,703,824.73	76,116,236.39	
2014					
2013	1.530	4,544,574.16	849,693.31	5,394,267.47	
	9.070	55,956,985.82	25,553,518.04	81,510,503.86	

4.8

4

4.1

4.1.1

2003 63

1.5

49%

34.33%

16.67%

2015 12 31

30

100

QDII)

LOF

4.1.2

		2012 3 30		8	2011 3

		2012 3 30		17	CIM Investors Group Financial Services Co. Ltd 2002

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2

3

2015 12 31
2016 1 27

(2016 3 26)

5

4.3.2

4.3.3

5%

4.4

4.4.1

2015

5.0%

12

QE

2015

2015

2015

2014

4.4.2

2015	12	31	A	1.3626
2.3226			A	26.36%
C	1.3487		2.2557	
C	24.20%			-14.64%

4.5**4.6**

2015

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2

3

4

5

4.7

2008 9 12

2008 38

1/2

4.8

1

A

C

2

6

20%

3

3

4

5

A C

			2	2015 1 23	
	A 10	3.97		2,254,564,783.88	C
10	3.74		17,778,289.29	2015 7 27	
		A 10	4.00	2,277,864,133.8	
	C 10	3.8		58,337,947.10	

4.9

5

5.1

5.2

5.3

6

6.1

	1600149

6.2

	(2015) 12 31 2015 ()
	(1) () (2)

	2015 12 31
	2015
	1 2 8
	2016-3-16

7

7.1

2015 12 31

		2015 12 31	2014 12 31
	7.4.7.1	65,280,555.19	201,692,121.60
		21,243,279.32	30,200,535.11
		1,484,902.88	920,265.69
	7.4.7.2	824,993,304.00	887,776,618.78
		132,876,789.90	82,737,778.82
		692,116,514.10	805,038,839.96
	7.4.7.3		
	7.4.7.4		139,010,448.52
		9,548,537.18	
	7.4.7.5	1,327,311.49	2,199,725.30
			36,762,607.37
	7.4.7.6		
		923,877,890.06	1,298,562,322.37
		2015 12 31	2014 12 31
	7.4.7.3		
		200,000,000.00	200,000,000.00
			48,097,543.40
		2,869,989.47	47,707,509.35
		447,019.07	438,637.32

		127, 719. 74	125, 324. 96
		56, 107. 62	103, 583. 17
	7. 4. 7. 7	424, 292. 33	585, 938. 42
		13, 390. 14	13, 390. 14
	7. 4. 7. 8	420, 278. 70	699, 270. 12
		204, 358, 797. 07	297, 771, 196. 88
	7. 4. 7. 9	529, 453, 596. 37	558, 575, 712. 44
	7. 4. 7. 10	190, 065, 496. 62	442, 215, 413. 05
		719, 519, 092. 99	1, 000, 791, 125. 49
		923, 877, 890. 06	1, 298, 562, 322. 37

2015 12 31

1. 3590

529, 453, 596. 37

A

1. 3626

391, 598, 575. 67

C

1. 3487

137, 855, 020. 70

7. 2

2015 1 1 2015 12 31

		2015 1 1 2015 12 31	2014 1 1 2014 12 31
		191, 736, 401. 69	300, 097, 256. 83
1.		14, 251, 046. 65	4, 135, 291. 55
	7. 4. 7. 11	6, 805, 144. 71	646, 184. 77
		6, 634, 484. 41	3, 364, 365. 62
		811, 417. 53	124, 741. 16
2.	-	222, 093, 286. 69	207, 323, 053. 76
	7. 4. 7. 12	109, 091, 993. 85	14, 379, 066. 88
	7. 4. 7. 13	112, 463, 262. 41	192, 682, 662. 94

	7. 4. 7. 14		
	7. 4. 7. 15		
	7. 4. 7. 16	538, 030. 43	261, 323. 94
3.	7. 4. 7. 17	-65, 601, 091. 42	87, 975, 382. 97
-			
4.			
5.	7. 4. 7. 18	20, 993, 159. 77	663, 528. 55
		30, 262, 303. 25	12, 304, 031. 31
1		8, 274, 920. 10	1, 623, 645. 04
2		2, 364, 263. 01	463, 898. 67
3		794, 194. 27	165, 479. 91
4	7. 4. 7. 19	7, 934, 598. 86	2, 692, 304. 78
5		10, 536, 887. 01	6, 981, 047. 91
		10, 536, 887. 01	6, 981, 047. 91
6	7. 4. 7. 20	357, 440. 00	377, 655. 00
		161, 474, 098. 44	287, 793, 225. 52
-			
		161, 474, 098. 44	287, 793, 225. 52

7.3

2015 1 1 2015 12 31

	2015 1 1 2015 12 31		
	558, 575, 712. 44	442, 215, 413. 05	1, 000, 791, 125. 49
		161474098. 44	161474098. 44
	-29, 122, 116. 07	4, 194, 921, 139. 15	4, 165, 799, 023. 08
-			

1.	12,809,663,470.66	9,579,979,921.98	22,389,643,392.64
2.	-12,838,785,586.73	-5,385,058,782.83	-18,223,844,369.56
-		-4,608,545,154.02	-4,608,545,154.02
	529,453,596.37	190,065,496.62	719,519,092.99
	2014 1 1 2014 12 31		
	120,108,287.39	-9,925,733.69	110,182,553.70
		287,793,225.52	287,793,225.52
-	438,467,425.05	164,347,921.22	602,815,346.27
1.	1,013,400,203.66	387,074,191.57	1,400,474,395.23
2.	-574,932,778.61	-222,726,270.35	-797,659,048.96
-			
	558,575,712.44	442,215,413.05	1,000,791,125.49

7.1 7.4

7.4

7.4.1

()

()

([2012]6)

2012 3 30

373,884,064.36

)()

2012 2 27

2012 3 27

373,764,793.90

119,270.46

373,884,064.36

(2012) 6077

80%

80%

20%

5%

2015 8 7 70%+

20%+ 300 10%

70%+ 20%+ 300

10%

7.4.2

() 2006 2 15

- 38

()

[2010]5 XBRL

3 < > 2012 11 16

7.4.3

2015 12

31 2015 1 1 2015 12 31

7.4.4

7.4.4.1

1 1 12 31

2015 1 1 2015 12 31

7.4.4.2

7.4.4.3

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7. 4. 4. 4

7. 4. 4. 5

7. 4. 4. 6

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7. 4. 4. 7

7. 4. 4. 8

7.4.4.9 / () / () / ()

()

/()

7.4.4.10

0.70%

0.20%

A

C

0.35%

C

0.35%

7. 4. 4. 11

A C

6

20%

3

7. 4. 4. 12

(1)

(2)

(3)

7. 4. 4. 13

[2008]38

[2007]21

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7.4.5

7.4.5.1

7.4.5.2

2015 3 27

2015 3 27

0.50%

7.4.5.3

7.4.6

7.4.6.1

[1998]55

[2002]128

[2004]78

[2012]85

[2005]103

[2008]16

2008 9 18

[2008]1

(1)

(2)

(3)

(4)

20%

2013 1

1

1

1

1

1

1

50%

1

25%

20%

(5) 0.1%

(6) ()

7.4.6.2

	2015 12 31	2014 12 31
	13,390.14	13,390.14

7.4.7

7.4.7.1

	2015 12 31	2014 12 31
	65,280,555.19	201,692,121.60
1-3		
	65,280,555.19	201,692,121.60

7.4.7.2

	2015 12 31		
	129,070,590.68	132,876,789.90	3,806,199.22
-			
	676,605,049.48	692,116,514.10	15,511,464.62
	676,605,049.48	692,116,514.10	15,511,464.62
	805,675,640.16	824,993,304.00	19,317,663.84

		2014 12 31		
		87,689,728.21	82,737,778.82	-4,951,949.39
-				
		705,143,565.31	795,021,839.96	89,878,274.65
		10,024,570.00	10,017,000.00	-7,570.00
		715,168,135.31	805,038,839.96	89,870,704.65
		802,857,863.52	887,776,618.78	84,918,755.26

7.4.7.3

/

/

7.4.7.4

	2015 12 31		2014 12 31	
			139,010,448.52	
			139,010,448.52	

7.4.7.5

	2015 12 31	2014 12 31
	40,877.10	59,586.58
	10,515.45	14,949.22
	1,275,183.92	2,093,386.58
		25,074.59
		6,272.82
	735.02	455.51
	1,327,311.49	2,199,725.30

7.4.7.6

7.4.7.7

	2015 12 31	2014 12 31
	423,943.08	583,774.90
	349.25	2,163.52
	424,292.33	585,938.42

7.4.7.8

	2015 12 31	2014 12 31
	9,834.10	168,825.52
	1,444.60	1,444.60
-	100,000.00	100,000.00
-	80,000.00	80,000.00
-	160,000.00	280,000.00
	9,000.00	9,000.00

(A)			
	200,910,156.30	199,587,550.46	400,497,706.76
	169,017,694.77	14,130,518.79	183,148,213.56
	4,149,248,169.18	-58,474,594.13	4,090,773,575.05
	4,433,749,580.01	4,441,393,573.61	8,875,143,153.62
	-284,501,410.83	-4,499,868,167.74	-4,784,369,578.57
	-4,532,428,917.68		-4,532,428,917.68
	-13,252,897.43	155,243,475.12	141,990,577.69
(C)			
	20,455,408.71	21,262,297.58	41,717,706.29
	58,057,495.09	-79,731,610.21	-21,674,115.12
	-8,260,244.19	112,407,808.29	104,147,564.10
	224,434,808.65	480,401,959.71	704,836,768.36
	-232,695,052.84	-367,994,151.42	-600,689,204.26
	-76,116,236.34		-76,116,236.34
	-5,863,576.73	53,938,495.66	48,074,918.93

7.4.7.11

	2015 1 1 2015 12 31	2014 1 1 2014 12 31
	5,426,543.37	453,773.28
	44,654.94	13,132.43
	744,264.35	173,006.24
	589,682.05	6,272.82
	6,805,144.71	646,184.77

7.4.7.12

7.4.7.12.1

	2015 1 1 2015	2014 1 1 2014 12

	12 31	31
	109,091,993.85	14,379,066.88
	109,091,993.85	14,379,066.88

7.4.7.12.2

	2015 1 1 2015 12 31	2014 1 1 2014 12 31
	2,630,932,374.68	856,744,754.23
	2,521,840,380.83	842,365,687.35
7.4.7.1	109,091,993.85	14,379,066.88

7.4.7.13

7.4.7.13.1

	2015 1 1 2015 12 31	2014 1 1 2014 12 31
	112,463,262.41	192,682,662.94

7. 4. 7. 15

7. 4. 7. 16

	2015 1 1 31	2015 12 31	2014 1 1 31	2014 12 31
		538,030.43		261,323.94
		538,030.43		261,323.94

7. 4. 7. 17

	2015 1 1 31	2015 12 31	2014 1 1 31	2014 12 31
1.		-65,601,091.42		87,975,382.97
		8,758,148.61		-4,951,949.39
		-74,359,240.03		92,927,332.36
2.				
3.				
		-65,601,091.42		87,975,382.97

7. 4. 7. 18

	2015 1 1 31	2015 12 31	2014 1 1 31	2014 12 31
		20,362,830.65		625,366.56
		630,329.12		38,161.99
		20,993,159.77		663,528.55

7. 4. 7. 19

	2015 1 1	2015 12	2014 1 1	2014 12

	31	31
	7,932,349.61	2,691,954.78
	2,249.25	350.00
	7,934,598.86	2,692,304.78

7.4.7.20

	2015 1 1 31	2015 12 31	2014 1 1 31	2014 12 31
		60,000.00		60,000.00
		260,000.00		280,000.00
-		-		
		36,000.00		36,000.00
		1,440.00		1,655.00
		357,440.00		377,655.00

7.4.8

7.4.8.1

7.4.8.2

7.4.9

- 1	
- 1	

7.4.10

7.4.10.1

7.4.10.1.1

	2015 1 1		2015 12 31		2014 1 1		2014 12 31	
	2,180,804,095.34		41.99%		1,189,551,084.11		66.60%	

7.4.10.1.2

7.4.10.1.3

	2015 1 1		2015 12 31		2014 1 1		2014 12 31	
	8,244,530,111.40		90.47%		4,901,096,171.47		96.59%	

7.4.10.1.4

	2015 1 1		2015 12 31		2014 1 1		2014 12 31	
	89,704,500,000.00		93.91%		29,820,500,000.00		99.28%	

7.4.10.1.5

	2015 1 1		2015 12 31		2014 1 1		2014 12 31	
	1,989,999.78		42.24%		122,120.63		28.81%	
	2014 1 1		2014 12 31		2014 1 1		2014 12 31	
	1,082,963.56		66.60%		376,230.93		64.45%	

	= ()	1 -	() -
()	
	= ()	1 - ()	- ()
-	()

7.4.10.2

7.4.10.2.1

	2015 1 1	2015 12 31	2014 1 1	2014 12 31
		8,274,920.10		1,623,645.04

		33,264.31	33,264.31
		1,018.93	1,018.93
	-	237,121.29	237,121.29
	2014 1 1 2014 12 31		
	A	C	
		14,740.54	14,740.54
		35,077.12	35,077.12
		72.00	72.00

	2015 1 1	2015 12 31	2014 1 1	2014 12 31
	65,280,555.19	5,426,543.37	201,692,121.60	63,911.95

2015 12 31

21,243,279.32 (2014 12 31 : 30,200,535.11)

7.4.10.6

7.4.10.7

7.4.11

7. 4. 12. 2

300028		2015-6-10		24.48	-	-	168,871	6,982,858.93	4,133,962.08
002664		2015-12-30		31.77	-	-	22,200	677,100.00	705,294.00
002707		2015-11-16		52.62	-	-	622	18,346.99	32,729.64
300238		2015-11-12		56.67	-	-	2,000	64,488.87	113,340.00
600699		2015-11-3		31.25	2016-3-10	29.00	115,000	3,008,658.11	3,593,750.00

7. 4. 12. 3

7. 4. 12. 3. 1

2015 12 31

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7. 4. 12. 3. 2

2015 12 31

20,000,000.00

2016 1 4

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7. 4. 13

7. 4. 13. 1

7. 4. 13. 2

10%

10%

7. 4. 13. 2. 1

	2015 12 31	2014 12 31
A-1		
A-1		
		10,017,000.00
		10,017,000.00

2006 3 29

[2006]95

2006 11 21

A-1 A-2 A-3 B C D

+

-

A-1	
A-2	
A-3	
B	
C	
D	

7. 4. 13. 2. 2

	2015 12 31	2014 12 31
AAA	692, 116, 514. 10	441, 328, 111. 80
AAA		353, 693, 728. 16
	692, 116, 514. 10	795, 021, 839. 96

2006 3 29

[2006]95

2006 11 21

AAA AA A BBB BB B CCC CC C

AAA CCC

() + -

AAA	
AA	
A	
BBB	
BB	
B	
CCC	
CC	
C	

7. 4. 13. 3

7. 4. 12

(20,000,000.00
) ()

7. 4. 13. 4

7. 4. 13. 4. 1

7. 4. 13. 4. 1. 1

	65,280,555.19					65,280,555.19
	21,243,279.32					21,243,279.32
	1,484,902.88					1,484,902.88
	233129001.30	458,934,894.40	52,618.40		132,876,789.90	824993304.00
	9548537.13					9,548,537.18
					1,327,311.49	1,327,311.49
	330686275.87	458,934,894.40	52,618.40		134204101.39	923877890.06
	200,000,000.00					200,000,000.00
					2,869,989.47	2,869,989.47
					447,019.07	447,019.07
					127,719.74	127,719.74
					56,107.62	56,107.62
					424,292.33	424,292.33

	736,557,639.68	440,304,571.20			121,700,111.49	1,298,562,322.37
	200,000,000.00					200,000,000.00
					48,097,543.40	48,097,543.40
					47,707,509.35	47,707,509.35
					438,637.32	438,637.32
					125,324.96	125,324.96
					103,583.17	103,583.17
					585,938.42	585,938.42
					13,390.14	13,390.14
					699,270.12	699,270.12
	200,000,000.00				97,771,196.88	297,771,196.88
	536,557,639.68	440,304,571.20			23,928,914.61	1,000,791,125.49

7.4.13.4.1.2

		2015 12 31	2014 12 31
	27	4,175,239.17	8,365,006.67
	27	-4,175,239.17	-8,365,006.67

7.4.13.4.2

7.4.13.4.3

VaR(Value at Risk)

7.4.13.4.3.1

	2015 12 31		2014 12 31	
		%		%
-	132,876,789.90	18.47	82,737,778.82	8.27
-	692,116,514.10	96.19	805,038,839.96	80.44
-				
	824,993,304.00	114.66	887,776,618.78	88.71

7.4.13.4.3.2

	95%	2015 12 31		VaR
		3.12%(2014:1.30%)		
		2015 12 31	2014 12 31	
		-22,448,995.70	-12,964,248.24	

VaR

95%

7.4.14

2015

12 31

()

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2015 12 31				
	124,297,714.18	8,579,075.72		132,876,789.90
	-	692,116,514.10		692,116,514.10
	124,297,714.18	700,695,589.82		824,993,304.00
2014 12 31				
	82,737,778.82			82,737,778.82
	795,021,839.96	10,017,000.00		805,038,839.96

7.4.4.4 7.4.4.5

()

8

8.1

			%
1		132,876,789.90	14.38
		132,876,789.90	14.38
2		692,116,514.10	74.91
		692,116,514.10	74.91
3			
4			
5			
6		86,523,834.51	9.37
7		12,360,751.55	1.34
8		923,877,890.06	100.00

8.2

8.2.1

			(%)
A			
B			
C		76,816,257.35	10.68
D			
E		3,325.04	
F		5,581,000.00	0.78
G			
H			
I		45,171,355.08	6.28
J		1,168,843.84	0.16
K		1,044,256.14	0.15

L		32, 729. 64	
M			
N			
O			
P			
Q			
R		208, 687. 20	0. 03
S		2, 850, 335. 61	0. 40
		132, 876, 789. 90	18. 47

8. 2. 2

8. 3

					%
1	300124		247, 320	11, 673, 504. 00	1. 62
2	600410		386, 500	10, 246, 115. 00	1. 42
3	300016		272, 011	9, 659, 110. 61	1. 34
4	300146		162, 600	6, 260, 100. 00	0. 87
5	002439		181, 521	5, 808, 672. 00	0. 81
6	300253		118, 400	4, 958, 592. 00	0. 69
7	600588		149, 440	4, 753, 686. 40	0. 66
8	600822		300, 000	4, 503, 000. 00	0. 63

24	000997		77,000	1,949,640.00	0.27
25	600887		110,700	1,818,801.00	0.25
26	002405		42,283	1,640,580.40	0.23
27	300007		44,099	1,508,185.80	0.21
28	002073		84,000	1,419,600.00	0.20
29	002074		35,700	1,324,470.00	0.18
30	601688		59,272	1,168,843.84	0.16
31	600118		26,161	1,112,888.94	0.15
32	600998		55,000	1,078,000.00	0.15
33	300166		30,500	976,915.00	0.14
34	600728		25,600	954,368.00	0.13
35	002385		65,800	803,418.00	0.11
36	002268		13,125	737,756.25	0.10
37	600893		16,200	729,486.00	0.10
38	000768		29,210	723,531.70	0.10
39	002488		24,500	710,500.00	0.10
40	002664		22,200	705,294.00	0.10
41	600745		16,800	688,464.00	0.10
42	002407		8,900	678,714.00	0.09
43	300168		20,000	662,600.00	0.09
44	002273		16,300	661,780.00	0.09
45	300369		13,006	632,611.84	0.09
46	002230		14,999	555,712.95	0.08
47	300353		20,600	502,640.00	0.07
48	600085		9,800	437,178.00	0.06
49	300170		21,100	418,835.00	0.06
50	002410		19,600	356,328.00	0.05
51	600305		13,800	354,246.00	0.05
52	002146		33,038	314,852.14	0.04
53	601126		20,000	265,600.00	0.04
54	300246		5,320	219,716.00	0.03
55	300291		5,862	208,687.20	0.03
56	300378		3,500	203,770.00	0.03
57	300216		2,700	119,016.00	0.02
58	300238		2,000	113,340.00	0.02
59	600767		2,000	40,940.00	0.01
60	002008		1,436	37,178.04	0.01
61	002707		622	32,729.64	0.00
62	300400		400	19,412.00	0.00
63	603555		485	17,183.55	0.00

64	300020		590	14,466.80	0.00
65	300024		200	13,700.00	0.00
66	600172		253	6,403.43	0.00
67	002081		178	3,325.04	0.00
68	603456		32	2,275.52	0.00
69	300322		10	195.00	0.00

8.4

8.4.1

2% 20

				(%)
1	601788		116,778,549.06	11.67
2	300124		84,069,943.77	8.40
3	601688		83,716,219.39	8.37
4	600999		76,840,758.20	7.68
5	300253		68,085,236.50	6.80
6	600410		64,840,341.79	6.48
7	601901		55,928,084.79	5.59
8	601555		51,003,772.15	5.10
9	300146		50,469,351.79	5.04
10	002407		49,820,489.22	4.98
11	002073		48,068,171.46	4.80
12	300369		46,127,914.60	4.61
13	002268		44,199,275.91	4.42
14	002339		42,484,831.54	4.25
15	601377		39,526,445.80	3.95
16	300246		39,103,492.05	3.91
17	300016		39,019,882.21	3.90
18	601628		38,990,163.30	3.90
19	002385		37,131,441.44	3.71
20	601601		35,545,698.40	3.55
21	300378		34,631,158.47	3.46
22	002189		34,147,041.47	3.41
23	002496		34,027,522.07	3.40
24	300077		33,697,046.03	3.37
25	601336		31,497,753.56	3.15
26	600998		31,264,623.11	3.12
27	600699		29,669,274.38	2.96
28	002236		29,442,074.65	2.94

29	600271		29,400,964.86	2.94
30	300007		28,920,438.67	2.89
31	603019		28,364,387.00	2.83
32	603555		27,819,611.86	2.78
33	002184		27,638,454.20	2.76
34	000768		25,175,149.16	2.52
35	000555		25,018,917.27	2.50
36	002108		24,743,251.52	2.47
37	600571		24,736,033.30	2.47
38	002439		24,103,502.00	2.41
39	002405		23,167,742.33	2.31
40	300207		22,604,613.78	2.26
41	300033		21,948,193.76	2.19
42	300020		21,284,302.58	2.13
43	300005		20,085,464.65	2.01

8.4.2

2% 20

				(%)
1	601788		117,091,668.61	11.70
2	601688		84,835,918.66	8.48
3	600999		75,577,624.01	7.55
4	300253		75,006,704.91	7.49
5	300124		73,659,366.41	7.36
6	002407		61,676,063.65	6.16
7	300246		59,357,611.34	5.93
8	002073		58,248,703.21	5.82
9	600410		57,257,317.83	5.72
10	601901		56,546,934.64	5.65
11	601555		51,548,807.52	5.15
12	300369		49,603,295.41	4.96
13	002268		46,382,943.41	4.63
14	002385		43,846,581.31	4.38
15	300146		41,407,448.37	4.14
16	002405		40,412,253.36	4.04
17	601377		39,750,757.73	3.97
18	600998		39,143,262.93	3.91
19	002108		38,866,226.38	3.88

20	601628		38,164,857.54	3.81
21	300007		37,587,030.35	3.76
22	002496		37,307,166.34	3.73
23	300378		36,413,050.84	3.64
24	601601		36,134,793.93	3.61
25	002189		35,556,526.83	3.55
26	002339		34,808,561.59	3.48
27	300016		32,305,746.86	3.23
28	600271		31,116,461.12	3.11
29	601336		30,956,056.91	3.09
30	603555		30,818,501.65	3.08
31	600699		28,992,953.68	2.90
32	002236		28,988,518.54	2.90
33	300207		27,235,676.38	2.72
34	000768		26,791,628.97	2.68
35	002081		26,359,319.58	2.63
36	600718		25,448,346.29	2.54
37	300020		23,766,336.20	2.37
38	000555		22,305,274.44	2.23
39	300033		21,991,506.20	2.20
40	603019		21,926,044.87	2.19
41	300077		21,443,547.66	2.14
42	002184		21,161,106.78	2.11
43	002094		20,540,291.42	2.05

8.4.3

	2,563,221,243.30
	2,630,932,374.68

8.5

			%
1			

2			
3			
4		52,618.40	0.01
5			
6			
7		233,129,001.30	32.40
8			
9		458,934,894.40	63.78
10		692,116,514.10	96.19

8.6

					(%)
1	126018	08	4,643,680	458,934,894.40	63.78
2	113008		1,693,390	233,129,001.30	32.40
3	132001	14 EB	340	52,618.40	0.01

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8.12.2

8.12.3

1		1,484,902.88
2		9,548,537.18
3		
4		1,327,311.49
5		
6		
7		
8		
9		12,360,751.55

8.12.4

				(%)
1	113008		233,129,001.30	32.40

8.12.5

				%	
1	300028		4,133,962.08	0.57	

8.12.6

9

9.1

	()					
A	27,538	14,220.30	33,240,184.27	8.49%	358,358,391.40	91.51%
C	7,622	18,086.46	48,036,796.92	34.85%	89,818,223.78	65.15%
	35,160	15,058.41	81,276,981.19	15.35%	448,176,615.18	84.65%

9.2

	A	210,263.52	0.05%
	C	0.00	0.00%
		210,263.52	0.04%

11

11.1

11.2

11.2.1

2015 4 28

2015

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11.2.2

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11.6

11.7

11.7.1

	1	2,649,478,046.72	51.02%	2,418,850.73	51.35%	
	1	2,180,804,095.34	41.99%	1,989,999.78	42.24%	
	1	363,072,351.08	6.99%	301,822.45	6.41%	

11.7.2

	833,813,145.64	9.15%	5,813,620,000.00	6.09%		
	8,244,530,111.40	90.47%	89,704,500,000.00	93.91%		
	34,472,483.44	0.38%				

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1

2

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- 3
- 4
- 2

11.8

1	2014 12 31		2015-01-01
2			2015-01-05
3			2015-01-06
4			2015-01-15
5	2014 4		2015-01-21
6	2015		2015-01-22
7			2015-01-29
8	()		2015-03-03
9			2015-03-04
10			2015-03-09

11			2015-03-11
12			2015-03-23
13			2015-03-23
14	2014		2015-03-28
15			2015-03-30
16	2015 1		2015-04-20
17			2015-04-29
18			2015-05-12
19	(2015 1)		2015-05-13
20			2015-05-29
21			2015-06-04
22			2015-06-11
23			2015-06-19

24			2015-06-25
25			2015-06-29
26			2015-07-08
27	()		2015-07-15
28			2015-07-21
29	2015 1		2015-07-21
30	2015		2015-07-25
31			2015-07-30
32			2015-08-05
33			2015-08-07
34			2015-08-07
35			2015-08-12
36			2015-08-12
37			2015-08-22

38	2015		2015-08-27
39			2015-08-27
40			2015-09-02
41			2015-09-10
42			2015-09-24
43			2015-10-16
44			2015-10-17
45			2015-10-21
46	2015 3		2015-10-26
47			2015-10-29
48	2015 2		2015-11-13
49			2015-11-16

50			2015-11-26
51			2015-12-01
52			2015-12-01
53			2015-12-18
54			2015-12-18

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12.1

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12.2

12.3