

2016 4

2016 12 31

2017 1 21

§ 1

2017 1 18

2016 10 1 2016 12 31

§ 2

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| | |
| | 519991 |
| | 519991 |
| | 519990 |
| | |
| | 2008 6 19 |
| | 483,170,904.26 |
| | |
| | SAA |
| | 800 × 60% × 40% |
| | |

| | |
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| | |
| | |
| | |

§ 3

3.1

| | 2016 10 1 | 2016 12 31 |
|----|-----------|-----------------|
| 1. | | -113,372,702.33 |
| 2. | | -17,991,707.25 |
| 3. | | -0.0273 |
| 4. | | 565,717,784.75 |
| 5. | | 1.171 |

1

2

3.2

3.2.1

| | -5.34% | 0.88% | 0.59% | 0.43% | -5.93% | 0.45% |
|--|--------|-------|-------|-------|--------|-------|

| | | | | | |
|--|--|--|--|--|--|
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4.2

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A 3.3% 3103

4.4.2 2017

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6% 1%

12 2015 " "

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19

1 25%-30%

2

3

4 2017

4.5

2016 12 31 1.171 1.807

-5.34% 0.59%

4.6

§ 5

5.1

| | | | % |
|---|--|----------------|--------|
| 1 | | 407,186,980.00 | 69.84 |
| | | 407,186,980.00 | 69.84 |
| 2 | | - | - |
| 3 | | 106,429,528.20 | 18.25 |
| | | 106,429,528.20 | 18.25 |
| | | - | - |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | - | - |
| | | - | - |
| 7 | | 66,492,533.20 | 11.40 |
| 8 | | 2,960,570.85 | 0.51 |
| 9 | | 583,069,612.25 | 100.00 |

5.2

5.2.1

| | | | (%) |
|--|--|--|-----|
| | | | |

| | | | |
|---|--|----------------|-------|
| K | | 35,949,128.00 | 6.35 |
| L | | - | - |
| M | | - | - |
| N | | - | - |
| O | | - | - |
| P | | - | - |
| Q | | - | - |
| R | | - | - |
| S | | - | - |
| | | 407,186,980.00 | 71.98 |

5.2.2

5.3

| 1 | 600990 | | 710,733 | 51,670,289.10 | 9.13 |
|----|--------|--|-----------|---------------|------|
| 2 | 600309 | | 2,183,100 | 47,002,143.00 | 8.31 |
| 3 | 000750 | | 8,225,700 | 46,392,948.00 | 8.20 |
| 4 | 601198 | | 2,190,753 | 43,946,505.18 | 7.77 |
| 5 | 601933 | | 8,720,400 | 42,817,164.00 | 7.57 |
| 6 | 601377 | | 4,973,152 | 38,044,612.80 | 6.73 |
| 7 | 000718 | | 4,165,600 | 35,949,128.00 | 6.35 |
| 8 | 601901 | | 4,146,100 | 31,510,360.00 | 5.57 |
| 9 | 002024 | | 1,500,000 | 17,175,000.00 | 3.04 |
| 10 | 000683 | | 4,558,800 | 14,496,984.00 | 2.56 |

5.4

| 1 | | - | - |
|---|--|----------------|-------|
| 2 | | - | - |
| 3 | | - | - |
| | | - | - |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | - | - |
| 7 | | 106,429,528.20 | 18.81 |

| | | | |
|----|--|----------------|-------|
| 8 | | - | - |
| 9 | | - | - |
| 10 | | 106,429,528.20 | 18.81 |

5.5

| | | | | | |
|---|--------|--|---------|---------------|------|
| | | | | | |
| 1 | 110031 | | 509,610 | 55,333,453.80 | 9.78 |
| 2 | 110030 | | 448,920 | 51,096,074.40 | 9.03 |

5.6**5.7****5.8****5.9****5.9.1****5.9.2****5.10****5.10.1**

5.10.2

5.10.3

5.11

5.11.1

601377

2016 7 8
2016 70

IPO

2012

5.11.2

5.11.3

| | | |
|---|--|--------------|
| | | |
| 1 | | 533,419.69 |
| 2 | | 2,273,050.76 |
| 3 | | - |
| 4 | | 129,177.05 |
| 5 | | 24,923.35 |
| 6 | | - |

| | | |
|---|--|--------------|
| 7 | | - |
| 8 | | - |
| 9 | | 2,960,570.85 |

5.11.4

| | | | () | |
|---|--------|--|---------------|------|
| 1 | 110031 | | 55,333,453.80 | 9.78 |
| 2 | 110030 | | 51,096,074.40 | 9.03 |

5.11.5

| | | | () | % | |
|---|--------|--|---------------|------|--|
| 1 | 000750 | | 46,392,948.00 | 8.20 | |

5.11.6**§ 6**

| | |
|-----|----------------|
| | 786,042,432.11 |
| | 5,260,238.66 |
| : | 308,131,766.51 |
| "_" | - |
| | 483,170,904.26 |

§ 7**7.1****7.2**

§ 8

§ 9

9.1

- 1
- 2
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- 5
- 6

9.2

9.3

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2017 1 21