

2016 4

2016 12 31

2017 1 21

1

2017 1 20

2016 10 1 2016 12 31

2

	519933
	519933
	2016 6 28
	536,247,596.45
	1
	2

	3
	4
	90%+ 800

3

3.1

	2016 10 1	2016 12 31
1.		4,815,953.40
2.		-10,210,250.59
3.		-0.0171
4.		539,329,974.75
5.		1.0057

1

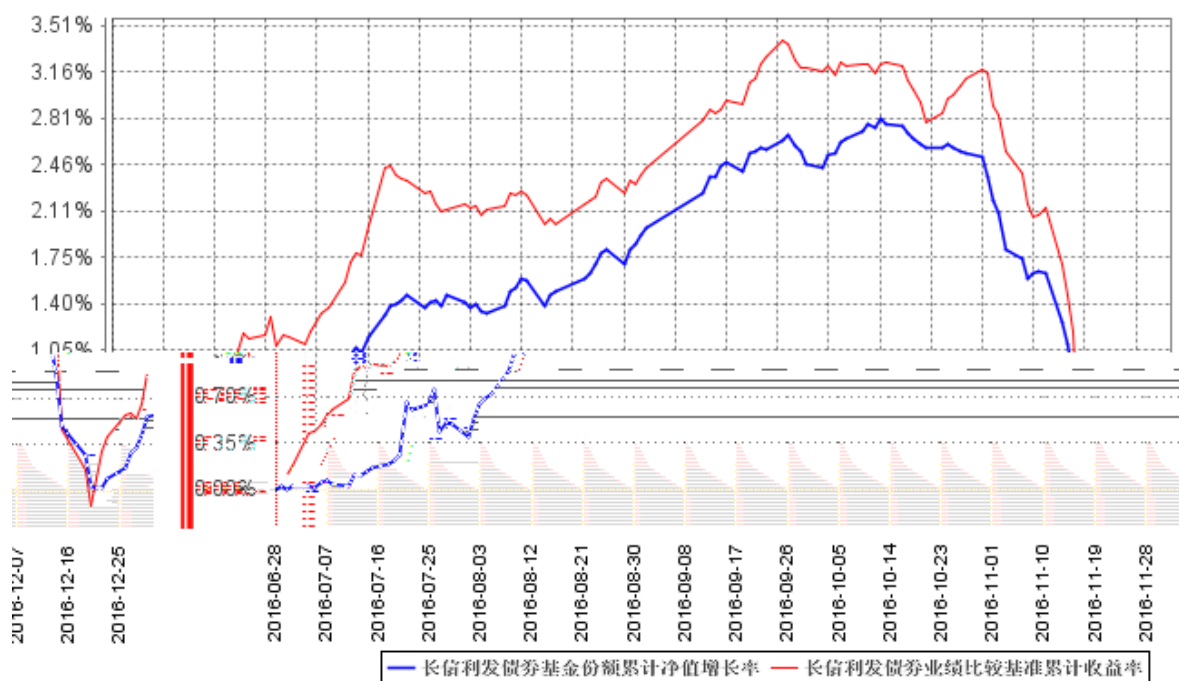
2

3.2

3.2.1

	-1.38%	0.11%	-1.51%	0.17%	0.13%	-0.06%

3.2.2



1

2016 6 28

2016 6 28 2016 12 31

2

6

4

4.1

		2016 6 28	-	18

					CIM Investors Group Financial Services Co. Ltd 2002
	LOF				LOF
		2016 7 15	-	6	2010 7

	LOF				LOF
		2016 7 28	-	5	5 2016

4.4

4.4.1

				L	1-11		
		6%		1-11			
10.4%				0.24%	1-11		
	8.3%		1.9%				
	3.29%	50		5.03%	300	1.75%	500
1.02%		8.74%		4.59%			

4.4.2 2017

2017

2017

4.5

2016	12	31	1.0057	1.0057
			-1.38%	-1.51%

4.6**5****5.1**

			%
1		28,172,712.06	5.22
		28,172,712.06	5.22
2		-	-
3		460,428,712.40	85.26
		460,428,712.40	85.26
		-	-
4		-	-
5		-	-
6		6,100,000.00	1.13
		-	-
7		35,075,919.14	6.50
8		10,230,403.72	1.89
9		540,007,747.32	100.00

5.2**5.2.1**

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			(%)
A		228,421.62	0.04
B		937,046.00	0.17
C		21,192,020.61	3.93
D		1,071,735.00	0.20
E		989,424.00	0.18
F		1,544,736.00	0.29
G		195.84	0.00
H		-	-
I		1,036,423.00	0.19
J		-	-
K		1,031,339.99	0.19
L		-	-
M		-	-
N		-	-
O		-	-
P		-	-
Q		-	-
R		-	-
S		141,370.00	0.03
		28,172,712.06	5.22

5.2.2

5.3

1	600178		44,100	486,864.00	0.09
2	600979		60,500	479,160.00	0.09
3	002578		38,000	451,820.00	0.08
4	600462		50,600	438,702.00	0.08
5	600249		43,100	394,365.00	0.07
6	000737		52,800	392,832.00	0.07
7	300132		35,700	383,418.00	0.07
8	600302		34,800	376,536.00	0.07
9	600962		18,400	376,280.00	0.07
10	300129		42,900	375,375.00	0.07

5.4

1		17,996,100.00	3.34
2		-	-
3		-	-
		-	-
4		402,156,612.40	74.57
5		40,276,000.00	7.47
6		-	-
7		-	-
8		-	-
9		-	-
10		460,428,712.40	85.37

5.5

1	041662001	16 CP001	400,000	40,276,000.00	7.47
2	1480444	14	300,000	31,860,000.00	5.91
3	139151	16	300,000	30,252,000.00	5.61
4	124547	14	200,000	21,256,000.00	3.94
5	1080155	10 02	200,000	20,470,000.00	3.80

5.6**5.7****5.8**

5.9**5.9.1****5.9.2****5.9.3****5.10****5.10.1****5.10.2****5.10.3**

1		64,899.63
2		-
3		-
4		10,163,852.57
5		1,651.52
6		-
7		-
8		-
9		10,230,403.72

5.10.4**5.10.5**

			()	%	
1	600979		479,160.00	0.09	

5.10.6**6**

	544,924,733.93
	142,655,862.32
:	151,332,999.80
"_"	-
	536,247,596.45

7**7.1****7.2****8****9****9.1**

1

2

3

4

5

6

9.2

9.3

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2017 1 21