



# 1

2018 8 28

2018 1 1      2018 6 30

## 1.1

**2**

**2.1**

	519935
	519935
	2016 9 29
	32,052,057.55

**2.2**

	300 *80%+
	*20%

**2.3**

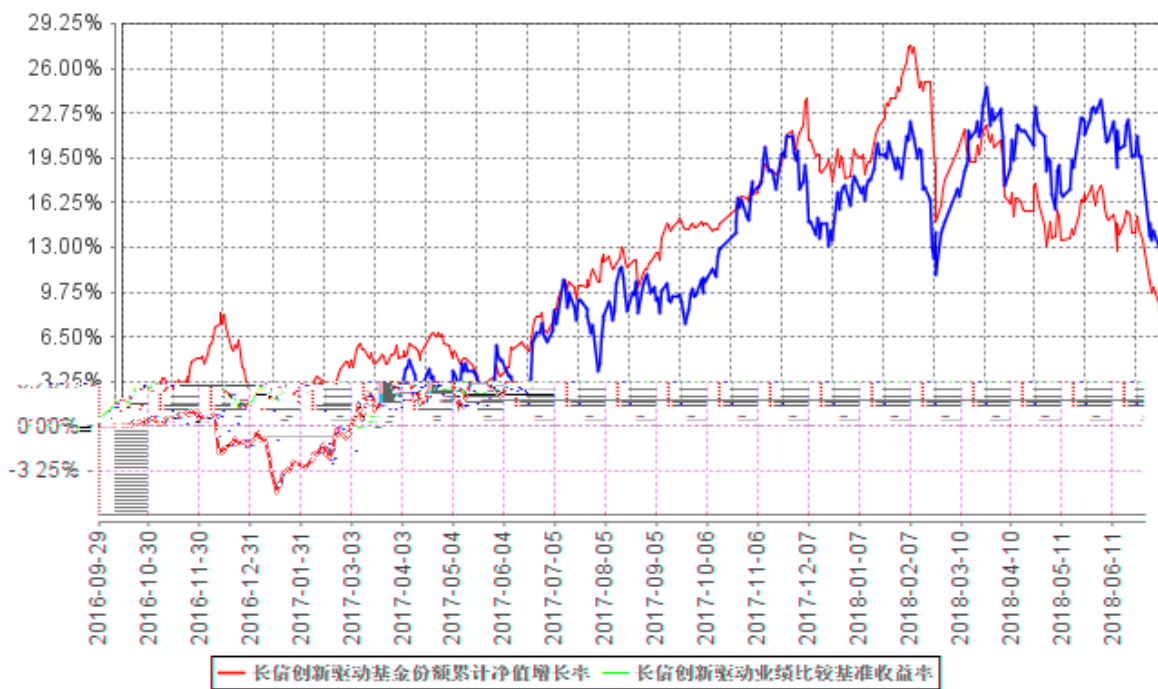
	021-61009999	010-66105798
	zhouyg@cxfund.com.cn	custody@icbc.com.cn
	4007005566	95588
	021-61009800	010-66105799

**2.4**

	www.cxfund.com.cn
	68 9
	55



## 3.2.2



1                      2016-09-29    2018-06-30

2

6

**4**

**4.1**

**4.1.1**

			2003	63		
					1.65	
			44.55%			
31.21%		15.15%			4.55%	
		4.54%				
	2018	6	30	61		

100

(LOF)

(LOF)

LOF

LOF

500

LOF

4.1.2

		2017 3 15	-	12	2006
		2017 10 20	-	4	2015 2014



---

					2015 7
		2016 9 29	2018 2 6	11	

1

/

2

**4.2**

**4.3**

**4.3.1**

**4.3.2**

5%

#### 4.4

##### 4.4.1

2018

2 6

2017

2017

##### 4.4.2

1.137

1.137

-3.56%

-9.63%

#### 4.5

3

**4.6**

,

**4.7**

1

3

2

3

4

5

**4.8**

2017 9 8      2018 2 1

2018 3 27      2018 4 11

2018 4 12      2018 5 10

## 5

### 5.1

## 6

## 6.1

2018 6 30

	2018 6 30	2017 12 31
	3,706,612.10	2,955,719.47
	17,308.45	90,025.16
	31,294.86	23,234.44
	32,459,406.72	30,710,720.55
	29,609,286.72	30,390,710.55
	-	-
	2,850,120.00	320,010.00
	-	-
	-	-
	-	-
	-	-
	-	341,989.26
	81,085.20	1,079.58
	-	-
	490,269.41	184,309.59
	-	-
	-	-
	36,785,976.74	34,307,078.05
	2018 6 30	2017 12 31
	-	-
	-	-
	-	-
	-	-
	549.95	103,021.07
	148,546.48	87,289.24
	45,867.56	44,668.35
	7,644.60	7,444.75
	-	-
	80,805.70	17,987.13

	-	-
	-	-
	-	-
	-	-
	74,668.38	140,167.00
	358,082.67	400,577.54
	32,052,057.55	28,758,623.26
	4,375,836.52	5,147,877.25
	36,427,894.07	33,906,500.51
	36,785,976.74	34,307,078.05
2018-06-30	1.137	32052057.55

## 6.2

2018 1 1 2018 6 30

	2018 1 1 6 30	2018	2017 1 1 30	2017 6
		70,403.45		9,146,147.00
1.		76,296.04		71,834.75
		17,113.91		71,834.75
		59,182.13		-
		-		-
		-		-
		-		-
2.	-	2,090,213.85		2,762,434.85
		1,796,828.97		2,421,153.48
		-		-
		122,125.51		-
		-		-
		-		-
		-		-
		171,259.37		341,281.37
3.	-	-2,660,508.99		6,158,918.36
4.	-	-		-
5.	-	564,402.55		152,959.04
		584,278.22		1,099,156.27

1		295,068.30	616,569.64
2		49,178.04	102,761.59
3		-	-
4		165,388.58	300,725.82
5		-	-
		-	-
6		0.73	-
7		74,642.57	79,099.22
	-	-513,874.77	8,046,990.73
		-	-
	-	-513,874.77	8,046,990.73

### 6.3

2018 1 1 2018 6 30

	2018 1 1 2018 6 30		
	28,758,623.26	5,147,877.25	33,906,500.51
	-	-513,874.77	-513,874.77
-	3,293,434.29	-258,165.96	3,035,268.33
1.	62,883,658.62	12,331,888.61	75,215,547.23
2.	-59,590,224.33	-12,590,054.57	-72,180,278.90
	-	-	-
-	32,052,057.55	4,375,836.52	36,427,894.07



	2017 1 1 2017 6 30		
	112,273,688.07	-1,320,006.02	110,953,682.05
	-	8,046,990.73	8,046,990.73
-	-71,780,994.19	-2,800,503.16	-74,581,497.35
1.	5,255,867.99	231,297.48	5,487,165.47
2.	-77,036,862.18	-3,031,800.64	-80,068,662.82
	-	-	-
-	40,492,693.88	3,926,481.55	44,419,175.43

6.1 6.4

**6.4****6.4.1**

(

(

[2016]794

220,567,127.62

)

1600548

(

(

2016 9 29

( )  
(

)  
( )  
( )

80%-95%

3%

80%

5%

300

### 6.4.2

(

### 6.4.3

2018 6 30

2018 1 1

2018 6 30

**6.4.4**

**6.4.4.1**

**6.4.4.2**

**6.4.5**

[2005]103

[2008]1

2008

9 18

[2012]85

[2014]48

[2015]101

[2016]36

[2016]140

[2017]56

[2017]90

1) ( )

2018 1 1

3%

2)

---

5)                    A                    0.10%                    (    )

## 6.4.6

### 6.4.6.1

### 6.4.6.2

(	
(	

## 6.4.7

### 6.4.7.1

#### 6.4.7.1.1

#### 6.4.7.1.2

#### 6.4.7.1.3

#### 6.4.7.1.4

#### 6.4.7.1.5

### 6.4.7.2

#### 6.4.7.2.1

	2018	1	1	2018	6	2017	1	1	2017	6	30
			30								

	295,068.30	616,569.64
	77,730.61	253,693.64

1.5%

H

H

E

**6.4.7.2.2**

	2018 1 1	2018 6 30	2017 1 1	2017 6 30
		49,178.04		102,761.59

0.25%

H

H

E

**6.4.7.3**

( )

( )

**6.4.7.4**

**6.4.7.4.1**

	2018 1 1	2018 6 30	2017 1 1	2017 6 30
9 29 2016		-		-



**6.4.8.3**

**6.4.8.3.1**

**6.4.8.3.2**

## 7

## 7.1

			%
1		29,609,286.72	80.49
		29,609,286.72	80.49
2		-	-
3		2,850,120.00	7.75
		2,850,120.00	7.75
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		3,723,920.55	10.12
8		602,649.47	1.64
9		36,785,976.74	100.00

## 7.2

## 7.2.1

			(%)
A		-	-
B		771,000.00	2.12
C		14,296,449.36	39.25
D		-	-
E		-	-
F		4,839,676.36	13.29



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G		1,315,665.00	3.61
H		-	-
I		3,075,608.00	8.44
J		3,165,588.00	8.69
K		2,145,300.00	5.89
L		-	-
M		-	-
N		-	-
O		-	-
P		-	-
Q		-	-
R		-	-
S		-	-
		29,609,286.72	81.28

**7.4****7.4.1****2% 20**

1	603808		5,345,263.00	15.76
2	002024		5,302,506.00	15.64
3	601689		4,999,062.00	14.74
4	603877		4,789,788.85	14.13
5	600029		4,498,000.00	13.27
6	601939		3,798,488.00	11.20
7	002310		3,596,169.00	10.61
8	601877		3,464,966.70	10.22
9	300383		3,182,338.52	9.39
10	002640		3,014,772.30	8.89
11	000651		2,824,498.00	8.33
12	600887		2,665,412.00	7.86
13	603228		2,241,946.00	6.61
14	600048		2,097,182.00	6.19
15	002706		2,001,036.00	5.90
16	001979		1,945,830.87	5.74
17	603365		1,927,375.46	5.68
18	601288		1,910,208.00	5.63
19	300685		1,742,643.00	5.14
20	600519		1,486,642.00	4.38
21	603708		1,069,339.00	3.15
22	600036		1,033,335.00	3.05
23	601857		758,481.00	2.24
24	600837		740,097.00	2.18
25	300170		728,285.00	2.15
26	002831		720,260.00	2.12
27	600584		715,380.00	2.11

**7.4.2****2% 20**

1	603808		6,059,677.96	17.87
2	002024		5,248,565.00	15.48
3	002310		4,504,073.00	13.28
4	603877		4,423,393.20	13.05
5	002640		3,588,733.28	10.58
6	600029		3,515,490.00	10.37
7	600887		3,323,562.00	9.80
8	600845		2,634,040.07	7.77
9	601689		2,313,350.00	6.82
10	002706		1,965,862.36	5.80
11	300685		1,963,912.00	5.79
12	603365		1,937,910.28	5.72
13	600519		1,922,159.00	5.67
14	601939		1,895,116.00	5.59
15	601288		1,869,175.00	5.51
16	002831		1,857,917.00	5.48
17	601877		1,690,222.00	4.98
18	300383		1,631,806.00	4.81
19	000651		1,571,446.00	4.63
20	002304		1,457,850.00	4.30
21	603900		1,373,003.80	4.05
22	001979		1,301,291.40	3.84
23	603228		1,256,004.40	3.70
24	300271		1,214,664.00	3.58
25	600398		1,146,805.00	3.38
26	002343		1,144,297.00	3.37
27	002091		1,048,640.10	3.09
28	603027		1,032,692.00	3.05
29	002027		1,021,010.00	3.01
30	002241		1,009,000.00	2.98
31	600016		997,200.00	2.94
32	603708		780,999.70	2.30
33	600261		711,100.00	2.10

**7.4.3**

	71, 792, 983. 70
	71, 744, 545. 31

**7.5**

1		-	-
2		-	-
3		2, 850, 120. 00	7. 82
		2, 850, 120. 00	7. 82
4		-	-
5		-	-
6		-	-
7		-	-
8		-	-
9		-	-
10		2, 850, 120. 00	7. 82

**7.6**

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---

**7.9**

**7.10**

**7.10.1**

**7.10.2**

**7.11**

**7.11.1**

**7.11.2**

**7.11.3**

**7.12**

**7.12.1**

**7.12.2**

**7.12.3**

1		31,294.86
2		-
3		-
4		81,085.20
5		490,269.41
6		-
7		-
8		-

---

9		602,649.47
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**7.12.4**

**7.12.5**

**7.12.6**

## 8

### 8.1

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**9**

2016 9 29	220,567,127.62
	28,758,623.26
	62,883,658.62
:	59,590,224.33
"_"	-
	32,052,057.55



## 10

### 10.1

### 10.2

### 10.3

### 10.4

### 10.5

### 10.6

### 10.7

#### 10.7.1

	2	82,993,665.35	57.82%	44,094.78	54.57%	-
	2	49,183,994.59	34.27%	26,131.35	32.34%	-
	2	11,359,869.07	7.91%	10,579.57	13.09%	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

**10.7.2**

	4,283,306.30	42.66%	-	-	-	-
	5,757,704.16	57.34%	-	-	-	-



**11**

**11.1**

**20%**

		20%					
	1	2018 1 1 2018 3 27 2018 4 10 2018 6 30	9,999,000.00	0.00	0.00	9,999,000.00	31.20%
	-	-	-	-	-	-	-
1							
2							
3							

**11.2**