

**2018 1**

**2018 3 31**

**2018 4 20**

§ 1

2018 4 18

2018 1 1      2018 3 31

§2

|  |                  |
|--|------------------|
|  |                  |
|  |                  |
|  | 519947           |
|  | 519947           |
|  |                  |
|  | 2015 11 16       |
|  | 62, 124, 392. 07 |
|  |                  |
|  | 1                |
|  | 2      •D 0      |

|  |          |
|--|----------|
|  |          |
|  | ( )+1.5% |
|  |          |
|  |          |
|  |          |

**§3**

**3.1**

|    | 2018 1 1 | 2018 3 31     |
|----|----------|---------------|
| 1. |          | -504,097.91   |
| 2. |          | 1,670,763.29  |
| 3. |          | 0.0264        |
| 4. |          | 59,542,441.45 |
| 5. |          | 0.9584        |

1

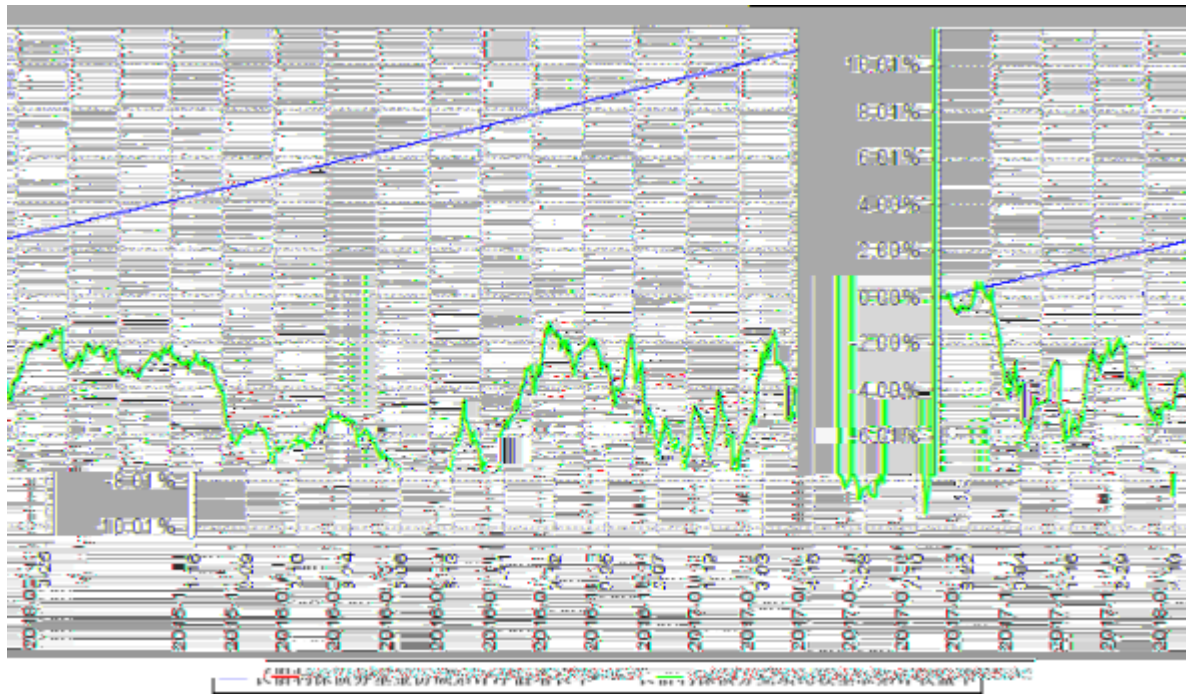
2

**3.2**

**3.2.1**

|  |       |       |       |       |       |       |
|--|-------|-------|-------|-------|-------|-------|
|  |       |       |       |       |       |       |
|  | 2.90% | 0.77% | 1.05% | 0.01% | 1.85% | 0.76% |

### 3.2.2



1 2015 11 16 2018 3 31

2 6

## §4

### 4.1

|  |  | 2015 11<br>16 | - | 20 | CIM<br>Investors Group<br>Financial Services<br>Co. Ltd 2002 |
|--|--|---------------|---|----|--|

|  |     |  |  |  |     |
|--|-----|--|--|--|-----|
|  | LOF |  |  |  | LOF |
|--|-----|--|--|--|-----|

1

/

2

4.2

**4.3**

**4.3.1**

**4.3.2**

5%

**4.4**

**4.4.1**

2018

,

,

2

,

3

3.7% 4.6% ,

2

**4.4.2 2018**

2018

CPI

PPI

**4.5**

2018 3 31 0.9584 0.9584  
2.90% 1.05%

**4.6**

**§5**

**5.1**

|   |  |               | %     |
|---|--|---------------|-------|
| 1 |  | 11,822,800.00 | 15.57 |
|   |  | 11,822,800.00 | 15.57 |
| 2 |  | -             | -     |
| 3 |  | 53,635,315.16 | 70.63 |
|   |  | 53,635,315.16 | 70.63 |
|   |  | -             | -     |
| 4 |  | -             | -     |
| 5 |  | -             | -     |
| 6 |  | -             | -     |
|   |  | -             | -     |

|   |  |               |        |
|---|--|---------------|--------|
|   |  |               |        |
| 7 |  | 4,338,232.13  | 5.71   |
| 8 |  | 6,138,121.52  | 8.08   |
| 9 |  | 75,934,468.81 | 100.00 |

## 5.2

### 5.2.1

|   |  |               | (%)   |
|---|--|---------------|-------|
| A |  | -             | -     |
| B |  | -             | -     |
| C |  | -             | -     |
| D |  | -             | -     |
| E |  | -             | -     |
| F |  | -             | -     |
| G |  | 1,481,250.00  | 2.49  |
| H |  | -             | -     |
| I |  | -             | -     |
| J |  | 1,357,200.00  | 2.28  |
| K |  | 8,984,350.00  | 15.09 |
| L |  | -             | -     |
| M |  | -             | -     |
| N |  | -             | -     |
| O |  | -             | -     |
| P |  | -             | -     |
| Q |  | -             | -     |
| R |  | -             | -     |
| S |  | -             | -     |
|   |  | 11,822,800.00 | 19.86 |

### 5.2.2

## 5.3

| 1 | 600048 |  | 200,000 | 2,694,000.00 | 4.52 |
|---|--------|--|---------|--------------|------|
| 2 | 000002 |  | 65,000  | 2,163,850.00 | 3.63 |
| 3 | 001979 |  | 80,000  | 1,744,000.00 | 2.93 |
| 4 | 601111 |  | 125,000 | 1,481,250.00 | 2.49 |



|   |        |  |        |              |      |
|---|--------|--|--------|--------------|------|
| 5 | 601601 |  | 40,000 | 1,357,200.00 | 2.28 |
| 6 | 000537 |  | 80,000 | 1,046,400.00 | 1.76 |
| 7 | 600340 |  | 30,000 | 984,300.00   | 1.65 |
| 8 | 601155 |  | 10,000 | 351,800.00   | 0.59 |

#### 5.4

|    |  |  |               |  |       |
|----|--|--|---------------|--|-------|
|    |  |  |               |  |       |
| 1  |  |  | 20,229,657.20 |  | 33.98 |
| 2  |  |  | -             |  | -     |
| 3  |  |  | 4,084,000.00  |  | 6.86  |
|    |  |  | 4,084,000.00  |  | 6.86  |
| 4  |  |  | -             |  | -     |
| 5  |  |  | -             |  | -     |
| 6  |  |  | -             |  | -     |
| 7  |  |  | 29,321,657.96 |  | 49.24 |
| 8  |  |  | -             |  | -     |
| 9  |  |  | -             |  | -     |
| 10 |  |  | 53,635,315.16 |  | 90.08 |

#### 5.5

|   |        |       |         |               |       |
|---|--------|-------|---------|---------------|-------|
|   |        |       |         |               |       |
| 1 | 010107 | 21    | 143,020 | 14,462,182.40 | 24.29 |
| 2 | 113013 |       | 55,620  | 6,018,084.00  | 10.11 |
| 3 | 019563 | 17 09 | 54,740  | 5,475,094.80  | 9.20  |
| 4 | 113011 |       | 50,000  | 5,424,000.00  | 9.11  |
| 5 | 110032 |       | 40,010  | 4,608,351.80  | 7.74  |

#### 5.6

#### 5.7

#### 5.8

**5.9****5.9.1****5.9.2****5.9.3****5.10****5.10.1****5.10.2****5.10.3**

| 1 |  | 54,959.92    |
|---|--|--------------|
| 2 |  | 5,713,435.97 |
| 3 |  | -            |
| 4 |  | 369,106.13   |
| 5 |  | 619.50       |
| 6 |  | -            |
| 7 |  | -            |
| 8 |  | -            |
| 9 |  | 6,138,121.52 |

**5.10.4**

|   |        |  | ( )          |       |
|---|--------|--|--------------|-------|
| 1 | 113013 |  | 6,018,084.00 | 10.11 |
| 2 | 113011 |  | 5,424,000.00 | 9.11  |
| 3 | 110032 |  | 4,608,351.80 | 7.74  |

**5.10.5****5.10.6**

**§6**

|      |               |
|------|---------------|
|      | 64,216,398.41 |
|      | 11,990,361.51 |
| :    | 14,082,367.85 |
| "_ " | -             |
|      | 62,124,392.07 |

**§7**

7.1

7.2

**§8**

8.1

20%

20%

8.2

**§9**

9.1

1

2

3

4

5

6

**9.2**

**9.3**

<http://www.cxfund.com.cn>

**2018 4 20**