

2017

2017 12 31

2018 3 31

2.4

	www.cxfund.com.cn
	68 9 1 1

§ 3

3.1

3.1.1	2017	2016	2015 11 16 ()- 2015 12 31
	-25,006,393.00	-61,339,897.23	1,619,584.74
	-984,321.95	-83,021,808.95	-3,344,984.77
	-0.0055	-0.0518	-0.0026
	-1.00%	-5.83%	-0.10%
3.1.2	2017	2016	2015
	-0.1613	-0.0592	-0.0010
	59,812,122.39	623,990,525.93	2,155,946,911.08
	0.9314	0.9408	0.9990

1

2

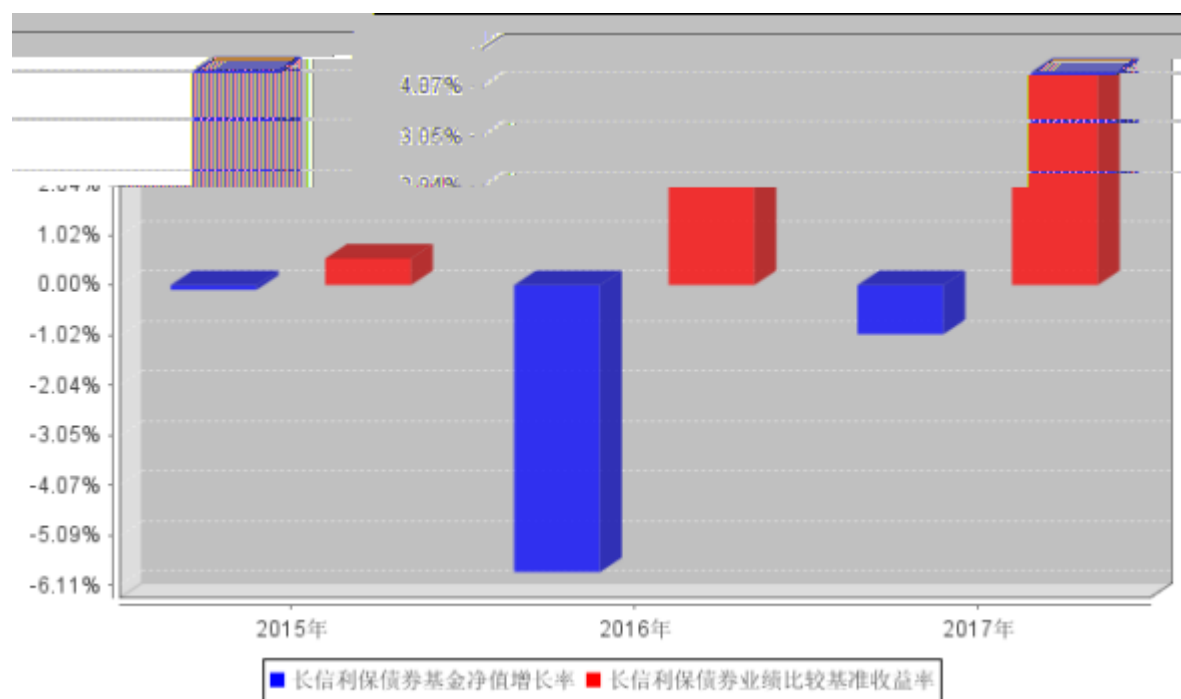
3.2

3.2.1

	-4.37%	0.54%	1.08%	0.01%	-5.45%	0.53%
	-1.14%	0.53%	2.17%	0.01%	-3.31%	0.52%
	-1.00%	0.44%	4.34%	0.01%	-5.34%	0.43%
	-6.86%	0.43%	9.47%	0.01%	-16.33%	0.42%

3.2.2

3.2.3



2015 11 16 2015

3.3

2015 11 16

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4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2017 12 31

58

100

(LOF)

(LOF)

LOF

LOF

500

LOF

4.1.2

					CIM Investors Group Financial Services Co. Ltd 2002
	LOF	2015 11 16	-	19	LOF

		2016 12 12	-	4	2016 5

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3 2018 2 2

4.2

4.3

4.3.1

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2

5 1

3

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5

4.3.2

4.3.3

5%

4.4

4.4.1

2017

2017

PPI

4.4.2

2017 12 31

0.9314

-1.00%

4.34%

4.5

4.6

“ ”

1/2

4.7

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12

3

2

3

4

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4.8



§6

2017

18 P00410

§7

7.1

2017 12 31

	2017 12 31	2016 12 31
	1,843,537.43	3,779,510.01
	1,096,615.06	204,076.23
	44,607.63	130,117.27
	70,958,151.27	645,845,093.83
	11,955,594.00	118,925,543.83
	-	-
	59,002,557.27	526,919,550.00
	-	-
	-	-
	-	-
	-	-
	1,862,417.14	2,000,000.00
	837,660.90	16,015,860.95
	-	-
	129.90	139.52
	-	-
	-	-
	76,643,119.33	667,974,797.81
	2017 12 31	2016 12 31
	-	-
	-	-
	-	-
	15,000,000.00	41,999,820.00
	1,173,632.43	-
	1,092.52	939,491.70
	36,911.12	385,242.81
	10,546.04	110,069.37
	-	-
	239,494.86	138,865.10
	65,560.00	65,560.00
	14,757.50	35,222.90
	-	-
	-	-
	289,002.47	310,000.00

	16,830,996.94	43,984,271.88
	64,216,398.41	663,227,870.04
	-4,404,276.02	-39,237,344.11
	59,812,122.39	623,990,525.93
	76,643,119.33	667,974,797.81
2017 12 31	0.9314	64,216,398.41

7.2

2017 1 1 2017 12 31

	2017 1 1 31	2017 12 31	2016 1 1 31	2016 12 31
		3,136,890.72		-62,555,597.94
1.		4,946,767.15		50,792,846.51
		96,250.57		909,383.63
		4,837,087.94		49,709,399.74
		-		-
		13,428.64		174,063.14
		-		-
2.	“ - ”	-25,927,488.71		-93,416,326.36
		-19,114,806.22		-62,634,125.01
		-		-
		-6,942,293.43		-31,788,107.40
		-		-
		-		-
		-		-
		129,610.94		1,005,906.05
3.	“ - ”	24,022,071.05		-21,681,911.72
4.	“ - ”	-		-
5.	“ - ”	95,541.23		1,749,793.63
		4,121,212.67		20,466,211.01
1		1,209,359.61		10,919,595.56
2		345,531.39		3,119,884.50
3		-		-
4		1,111,438.32		3,493,425.25
5		1,117,651.78		2,572,566.97
		1,117,651.78		2,572,566.97
6		337,231.57		360,738.73
	“ - ”	-984,321.95		-83,021,808.95
		-		-

“ _”	-984,321.95	-83,021,808.95
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7.3

2017 1 1 2017 12 31

	2017 1 1 2017 12 31		
	663,227,870.04	-39,237,344.11	623,990,525.93
	-	-984,321.95	-984,321.95
“ _”	-599,011,471.63	35,817,390.04	-563,194,081.59
1.	29,788,020.20	-663,449.25	29,124,570.95
2.	-628,799,491.83	36,480,839.29	-592,318,652.54
“ _”	-	-	-
	64,216,398.41	-4,404,276.02	59,812,122.39
	2016 1 1 2016 12 31		
	2,158,068,382.30	-2,121,471.22	2,155,946,911.08
	-	-83,021,808.95	-83,021,808.95
“ _”	-1,494,840,512.26	45,905,936.06	-1,448,934,576.20
1.	965,006,610.64	-37,972,576.81	927,034,033.83
2.	-2,459,847,122.90	83,878,512.87	-2,375,968,610.03
“ _”	-	-	-
	663,227,870.04	-39,237,344.11	623,990,525.93

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7.1 7.4

7.4

7.4.1

(“ ”)

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“ ”)

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”) [2015]2211

305,129,173.65

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1500956

2015 11 16

()

()

80%

20%

5%

()+1.5%

7.4.2

“

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7.4.3

2017 12 31

2017

7.4.4

7.4.5

7.4.5.1

7.4.5.2

[2017]13

[2017]6

2017 12 20

2017 12 20

0.25%

7.4.5.3

7.4.6

[2004]78

[2005]103

[2008]1

2008 9 18

[2012]85

[2014]48

[2015]101

[2016]36

[2016]140

[2017]56

[2017]90

1

2018 1 1

3%

2

3

1

1

1

1

1

50%

1

20%

4

20%

5

A

0.10%

7.4.7

(“ ”)	
“ ”	
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(“ ”)	
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2017 2 8

7.4.8**7.4.8.1****7.4.8.1.1****7.4.8.1.2****7.4.8.1.3****7.4.8.1.4****7.4.8.1.5****7.4.8.2****7.4.8.2.1**

	2017 1 1	2017 12 31	2016 1 1	2016 12 31
		1,209,359.61		10,919,595.56
		272,306.78		642,728.59

0.70%

:H E×

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H

E

7.4.8.2.2

	2017 1 1	2017 12 31	2016 1 1	2016 12 31

	345,531.39	3,119,884.50

0.20%

H E×

÷

H

E

7.4.8.3

()

()

7.4.8.4

7.4.8.4.1

7.4.8.4.2

7.4.8.5

	2017 1 1	2017 12 31	2016 1 1	2016 12 31
		1,843,537.43	85,743.53	3,779,510.01

“

”

2017 12 31

1,096,615.06 2016

204,076.23

7.4.8.6

7.4.8.7

7.4.9 2017 12 31

7.4.9.1 /

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7.4.9.2

603986		2017 11 1		153.72	2018 3 2	150.00	7,700	1,010,499.76	1,183,644.00	-

7.4.9.3**7.4.9.3.1****7.4.9.3.2**

2017 12 31

15,000,000.00

8,000,000.00

2018 1 2

7,000,000.00

2018 1 4

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S		-	-
		11,955,594.00	19.99

8.2.2

8.3

11	300474		7,418,634.00	1.19
12	002439		6,863,117.70	1.10
13	300302		6,556,119.00	1.05
14	002281		6,136,049.00	0.98
15	300377		5,848,926.50	0.94
16	002409		5,829,054.00	0.93
17	300310		5,741,015.21	0.92
18	002371		5,611,020.00	0.90
19	000636		5,168,257.65	0.83
20	000547		4,689,171.54	0.75

“ ”

8.4.2**2% 20**

1	002410		17,193,980.40	2.76
2	002439		16,511,026.84	2.65
3	603019		15,614,925.77	2.50
4	300078		13,157,503.75	2.11
5	002230		12,719,552.41	2.04
6	002049		11,368,831.00	1.82
7	002373		11,359,063.93	1.82
8	300604		11,152,229.00	1.79
9	002609		10,610,005.86	1.70
10	300496		10,360,290.85	1.66
11	300007		10,262,955.09	1.64
12	300059		10,030,409.60	1.61
13	300166		9,878,482.96	1.58
14	600588		9,618,016.75	1.54
15	300020		9,236,296.50	1.48
16	600570		8,615,507.37	1.38
17	002405		8,519,807.50	1.37
18	300033		6,752,409.00	1.08
19	300377		6,476,766.58	1.04
20	300302		6,405,780.30	1.03

“ ”

8.4.3

	378, 735, 628. 59
	484, 196, 607. 51

“ ” “ ” “ ”

8.5

1		12, 636, 297. 60	21. 13
2		-	-
3		19, 979, 000. 00	33. 40
		19, 979, 000. 00	33. 40
4		-	-
5		-	-
6		-	-
7		26, 387, 259. 67	44. 12
8		-	-
9		-	-
10		59, 002, 557. 27	98. 65

8.6

1	010107	21	123, 020	12, 348, 747. 60	20. 65
2	160406	16 06	100, 000	9, 992, 000. 00	16. 71
3	150406	15 06	100, 000	9, 987, 000. 00	16. 70
4	113013		54, 760	5, 743, 776. 40	9. 60
5	128015		59, 991	5, 721, 341. 67	9. 57

8.7

8.8**8.9****8.10****8.10.1****8.10.2****8.10.3****8.11****8.11.1**

8.11.2

8.11.3

1		44,607.63
2		1,862,417.14
3		-
4		837,660.90
5		129.90
6		-
7		-
8		-
9		2,744,815.57

8.11.4

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1	128015		5,721,341.67	9.57
2	110032		5,509,141.20	9.21
3	110031		3,540,776.00	5.92

8.11.5

				%	
1	603986		1,183,644.00	1.98	

8.11.6

§9

9.1

()					
731	87,847.33	7,214,341.66	11.23%	57,002,056.75	88.77%

9.2

§10

2015 11 16	305, 129, 173. 65
	663, 227, 870. 04
	29, 788, 020. 20
:	628, 799, 491. 83
"_"	-
	64, 216, 398. 41

§ 11

11.1

11.2

11.2.1

11.2.2

2017 9 1

11.3

11.4

11.5

11.6

20,000.00

1

11.7

11.8

11.8.1

	1	429,912,584.97	49.82%	239,635.33	43.92%	-
	1	123,873,136.15	14.35%	65,813.30	12.06%	-
	1	119,400,565.73	13.84%	63,437.36	11.63%	-
	1	104,778,218.30	12.14%	97,580.07	17.89%	-
	1	60,061,730.25	6.96%	55,935.43	10.25%	-
	1	24,906,000.70	2.89%	23,194.58	4.25%	-
	1	-	-	-	-	-
	1	-	-	-	-	-

11.8.2

		41,043,470.07	14.04%	5,800,000.00	1.05%	-
		153,973,420.47	52.68%	265,000,000.00	47.98%	-
		1,780,655.05	0.61%	-	-	-
		2,740,565.57	0.94%	-	-	-
		76,150,902.75	26.05%	260,700,000.00	47.20%	-
		16,600,457.90	5.68%	20,800,000.00	3.77%	-
		-	-	-	-	-
		-	-	-	-	-

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§12

12.1

20%

		20%					
	1	2017 1 1 2017 3 29	314, 557, 385. 75	0. 00	314, 557, 385. 75	0. 00	0. 00%
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1							
2							
3							

12.2

2018 3 31