

2017 3

2017 9 30

2017 10 27

**§1**

2017 10 23

2017 7 1 2017 9 30

**§2**

	002996
	002996
	2016 12 13
	140,204,714.29

### §3

#### 3.1

	2017 7 1	2017 9 30
1.		1,790,891.92
2.		1,601,748.04
3.		0.0113
4.		143,615,772.48
5.		1.0243

1

2

#### 3.2

##### 3.2.1

	1.12%	0.04%	-0.15%	0.03%	1.27%	0.01%



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1

/

2

**4.2**

**4.3**

**4.3.1**

**4.3.2**

5%

**4.4**

**4.4.1**



		-	-
2		-	-
3		121,737,000.00	84.62
		121,737,000.00	84.62
		-	-
4		-	-
5		-	-
6		10,000,135.00	6.95
		-	-
7		9,845,091.21	6.84
8		2,274,292.18	1.58
9		143,856,518.39	100.00

## 5.2

### 5.2.1

### 5.2.2

## 5.3

## 5.4

1		-	-
2		-	-
3		9,986,000.00	6.95
		9,986,000.00	6.95
4		111,751,000.00	77.81
5		-	-
6		-	-
7		-	-
8		-	-
9		-	-
10		121,737,000.00	84.77

**5.5**

1	170401	17 01	100,000	9,986,000.00	6.95
2	1580324	15	100,000	9,839,000.00	6.85
3	1680181	16	100,000	9,783,000.00	6.81
4	1680049	16	100,000	9,754,000.00	6.79
5	1680340	16	100,000	9,424,000.00	6.56

**5.6****5.7****5.8****5.9****5.9.1****5.9.2****5.9.3****5.10****5.10.1****5.10.2****5.10.3**

1		-
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2		-
3		-
4		2, 274, 292. 18
5		-
6		-
7		-
8		-
9		2, 274, 292. 18

**5.10.4****5.10.5****5.10.6****§6**

	141, 096, 142. 60
	2, 816, 546. 20
:	3, 707, 974. 51
"_"	-
	140, 204, 714. 29

**§7****7.1****7.2**

**§8**

**8.1**

**20%**

		20%					
1	2017 7 1 2017	50,000,000.00	0.00	0.00	50,000,000.00	35.66%	
	9 30						
2	2017 7 1 2017	50,000,000.00	0.00	0.00	50,000,000.00	35.66%	
	9 30						
-	-	-	-	-	-	-	
1							
2							
3							

**8.2**

**§9**

**9.1**

1

2

3

4

5

6

## 9.2

## 9.3

<http://www.cxfund.com.cn>

2017 10 27