

2012

2012 12 31

2013 03 26

§ 2

2 1

	519977	
	2012 3 30	
	87,488,144.71	
	A	C
	519977	519976
	42,590,787.84	44,897,356.87

2 2

	× 20%+ 300 × 70%+ * 10%

2 3

	021-61009969	0755-22166596

	zhouyg@cxfund.com.cn	dongyan@sdb.com.cn
	4007005566	95501
	021-61009800	0755-82080406

24

	www.cxfund.com.cn
	68 9 507

§ 3

3 1

3 1. 1	2012 3 30 - 2012 12 31	
	A	C
	1, 550, 837. 58	2, 420, 402. 68
	2, 513, 897. 39	4, 172, 924. 52
	0. 0462	0. 0371
	5. 32%	4. 96%
3 1. 2	2012	
	0. 0298	0. 0263
	44, 856, 128. 27	47, 123, 689. 17
	1. 0532	1. 0496

1 2012 3 30

2

3

3 2

3 2 1

(-	-
A)						
	4. 52%	0. 33%	4. 29%	0. 34%	0. 23%	-0. 01%
	3. 23%	0. 26%	1. 42%	0. 31%	1. 81%	-0. 05%
	5. 32%	0. 23%	3. 61%	0. 31%	1. 71%	-0. 08%
3 30	2012	2012				

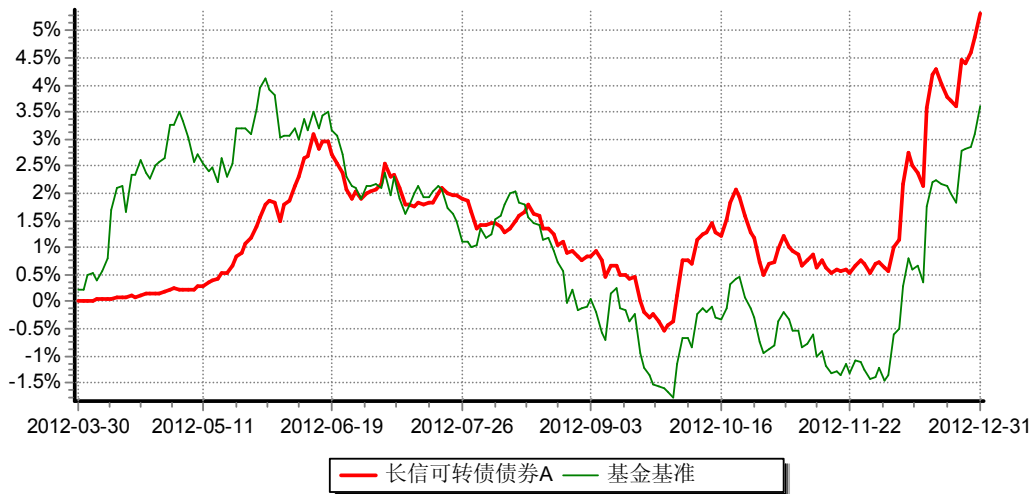
12 31						
(-	-
9						
	4.40%	0.32%	4.29%	0.34%	0.11%	-0.02%
	3.00%	0.26%	1.42%	0.31%	1.58%	-0.05%
2012 3 30 2012 12 31	4.96%	0.23%	3.61%	0.31%	1.35%	-0.08%

2012 3 30

3 2 2

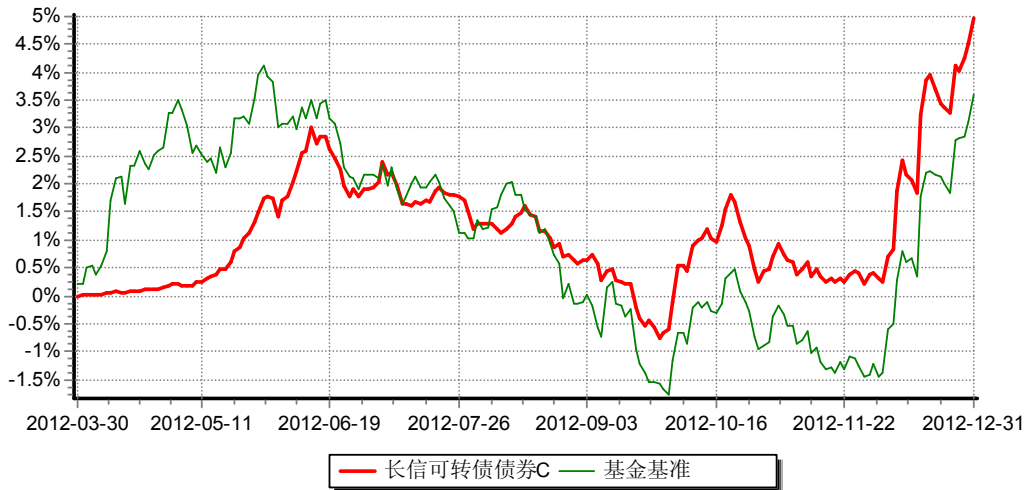
3 2 2 1

A



3 2 2 2

C



1 2012 3 30 2012 3 30

2012 12 31

2 6

80%

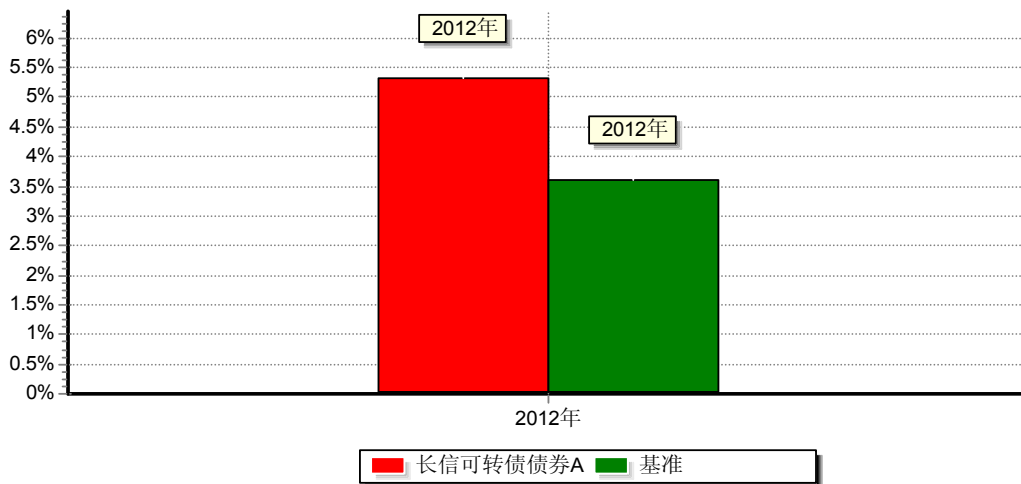
80%

20%

5%

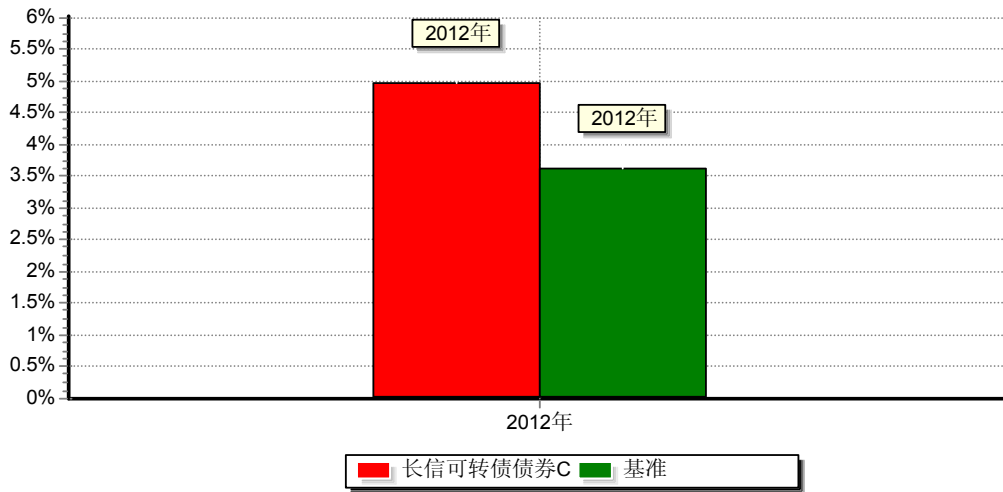
3 2 3

3 2 3 1 A



3 2 3 2

C



2012 3 30

2012

3 3

	10				
2012					

2012 3 30

4 7

§ 4

4.1

4.1.1

2003 63

1.5

49%

34 33%

16 67%

2012 12 31

14

100

(LOF)

100

QDI

4.1.2

		2012 3 30		5	2011 2
		2012 3 30		15	QDI Investors Group Financial Services Co., Ltd 2002 10

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4 2

4 3

4 3 1

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4

5

4 3 2

4 3 3

5%

4 4

4 4 1

CFI

12

4 4 2

	2012 12 31	A	1.0532
	1.0532	A	5.32%
C	1.0496	1.0496	
C	4.96%		3.61%

4 5

12

M

46

2008 9 12

2008 38

“ ”

1/2

47

1

A

C

2

6

20%

3

13 40

3

4

5

A C

6

§ 5

5 1

“ ”

“ ”

5 2

5 3

“ ”

§ 6

2012

1300078

§ 7

7.1

2012 12 31

	2012 12 31
	5,863,832.62
	1,414,143.70
	250,000.00
	160,395,358.30
	160,395,358.30
	1,527,127.16
	80,426.27
	169,530,888.05
	2012 12 31
	73,999,986.50
	2,462,961.41

	438,428.28
	56,754.04
	16,215.44
	14,457.41
	556.38
	2,253.20
	559,457.95
	77,551,070.61
	87,488,144.71
	4,491,672.73
	91,979,817.44
	169,530,888.05

1	2012 12 31		1.0513
87,488,144.71		A	1.0532
42,590,787.84		C	1.0496
44,897,356.87			

2	2012 3 30	2012 12 31
2012 3 30		

7.2

2012 3 30 2012 12 31

	2012 3 30	2012 12 31
		10,041,050.47
1.		5,626,922.04
		1,042,506.79
		4,193,444.24

		390,971.01
2	" - "	1,650,114.94
		2,370.00
		1,647,744.94
3	" - "	2,715,581.65
4	" "	
5	" - "	48,431.84
		3,354,228.56
1		907,344.61
2		259,241.36
3		307,999.18
4		8,908.69
5		1,545,834.72
		1,545,834.72
6		324,900.00
	" - "	6,686,821.91
	" - "	6,686,821.91

2012 3 30 2012 12 31

2012

3 30

7.3

2012 3 30 2012 12 31

--	--

	2012 3 30		2012 12 31	
	373,884,064.36			373,884,064.36
		6,686,821.91		6,686,821.91
"_"	-286,395,919.65	-2,195,149.18		-288,591,068.83
1.	47,384,499.23	987,157.26		48,371,656.49
2	-333,780,418.88	-3,182,306.44		-336,962,725.32
"_"				
	87,488,144.71	4,491,672.73		91,979,817.44

2012 3 30 2012 12 31

2012

3 30

7.1 7.4

7.4

7.4.1

(" ")

(" ")

([2012]6)

2012 3 30

373,884,064.36

	2012 2 27	2012 3 27	
	373,764,793.90		119,270.46
	373,884,064.36		
		(2012) 6077	
)			(

7.4 2

(" ")
 2006 2 15 - 38
 (" ") [2010] 5
 XBRL 3 < >
 2012 11 16

7.4 3

2012 12 31
 31 2012 3 30 () 2012 12 31

7.4 2

7.4 4

7.4 4 1

1 1 12 31
 2012 3 30 () 2012 12 31

7.4 4 2

7.4 4 3

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7.444

7.445

7.446

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-

7.447

7.448

/()

7.4.4.9 /

/()

/()

/()

()

/()

7.4.4.10

Q 7%

Q 2%

A

C

Q 35%

C

Q 35%

7.4.4.11

A C

6

20%

3

7.4.4.12

(1)

(2)

(3)

7.4.4.13

[2008] 38

[2006] 37

[2007] 21

< >

7.4.5

7.4.5.1

7.4.5.2

7.4.5.3

7.4.6

1

[1998] 55

[2002] 128

[2004] 78

[2005] 102

[2005] 107

[2008] 16

2008 9 18

[2008] 1

(a)

(b)

(c)

20%

50%

(d)

Q 1%

(e)

()

2

	2012 12 31
	2,253.20

7.4.7

" "	

()	

7.4.8

7.4.8.1

7.4.8.1.1

	2012 3 30	2012 12 31
	8,070.00	5.30%

7.4.8.1.2

7.4.8.1.3

	2012 3 30	2012 12 31
	837,914,513.60	92.34%

7.4.8.1.4

	2012 3 30	2012 12 31
	4,198,600,000.00	89.15%

7.4.8.1.5

	2012 3 30		2012 12 31	
	6.86	5.22%	6.86	5.22%

7.4.8.2

7.4821

	2012 3 30	2012 12 31
		907,344.61
		296,057.18

Q 70%

H Ex ÷ H E

7.4822

	2012 3 30	2012 12 31
		259,241.36

Q 20%

H Ex ÷ H E

7.4823

	2012 3 30		2012 12 31
	A	C	
		79,653.33	79,653.33
		199,623.27	199,623.27
		37.03	37.03
		279,313.63	279,313.63

A

C

Q 35%

C

Q 35%

H Ex ÷

H C

E C

7.483

()

()

7.484

7.4841

7.4842

7.485

	2012 3 30	2012 12 31
	5,863,832.62	324,616.36

"

"

2012 12 31

1,414,143.70

7.486

7.487

7.49 2012 12 31

7.491 /

2012 12 31

7.4.9.1.1									
127001		2012-12-24	2013-01-07		100.00	100.00	2.060	206,000.00	206,000.00

7.4.9.2 . . . 2 R

§ 8

8 1

			%
1			
2		160,395,358.30	94.61
		160,395,358.30	94.61
3			
4			
5		7,277,976.32	4.29
6		1,857,553.43	1.10
7		169,530,888.05	100.00

8 2

8 3

8 4

8 4 1 2% 20

				(%)
1	300101		66,550.00	0.07
2	300323		10,000.00	0.01
3	603128		6,660.00	0.01

8 4 2 2% 20

				(%)
1	300101		67,620.00	0.07
2	300323		9,890.00	0.01
3	603128		8,070.00	0.01

8.4.3

	83,210.00
	85,580.00

8.5

			%
1			
2		9,987,000.00	10.86
3			
4		20,470,009.00	22.25
5			
6			
7		129,938,349.30	141.27
8			
9		160,395,358.30	174.38

8.6

					(%)
1	113001		307,870	29,663,274.50	32.25
2	110011		299,580	27,774,061.80	30.20
3	110015		190,000	19,552,900.00	21.26
4	110018		148,830	16,764,211.20	18.23
5	113002		146,270	16,028,266.60	17.43

8.7

§ 9

9.1

	()					
A	446	95,495.04	20,101,175.58	47.20%	22,489,612.26	52.80%
C	910	49,337.75	16,503,712.35	36.76%	28,393,644.52	63.24%
	1,356	64,519.28	36,604,887.93	41.84%	50,883,256.78	58.16%

9.2

	A		
	C	48,534.27	Q 11%
		48,534.27	Q 06%

1

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§ 10

	A	C
(2012 3 30)	65,799,961.38	308,084,102.98
	14,941,574.86	32,442,924.37
	38,150,748.40	295,629,670.48
	42,590,787.84	44,897,356.87

§ 11

11.1

11.2

11.2.1

2012 11 1

11.2.2

11.3

11.4

11.5

69,000.00

1

11.6

11.7

	1	144,060.00	94.70%	69,513,077.55	7.66%	511,127,000.00	10.85%			124.62	94.78%	
	1	8,070.00	5.30%	837,914,513.60	92.34%	4,198,600,000.00	89.15%			6.86	5.22%	

1

2

2

<1998>29

2007 48

1

1

2

3

4

2