

2013

2013 6 30

2013 8 29

§ 1

20

2013 8

2013 1 1 6 30

§ 2

2 1

	519987
	519987
	2009 7 30
	275, 324, 410 41

2 2

	300 *70% *30%

2 3

--	--	--

		021-61009999	010-67595003
		zhouyg@cxfund.com.cn	yi ndong_zh@cb.com
		4007005566	010-67595096
		021-61009800	010-66275853

24

	www.cxfund.com.cn		
	1	68	9 1

§ 3

3.1

3.1.1	2013 1 1	2013 6 30
		17,384,654.70
		10,327,110.95
		0.0361
		4.81%
3.1.2	2013 6 30	
		-0.2590
		204,006,319.42
		0.741

1

2

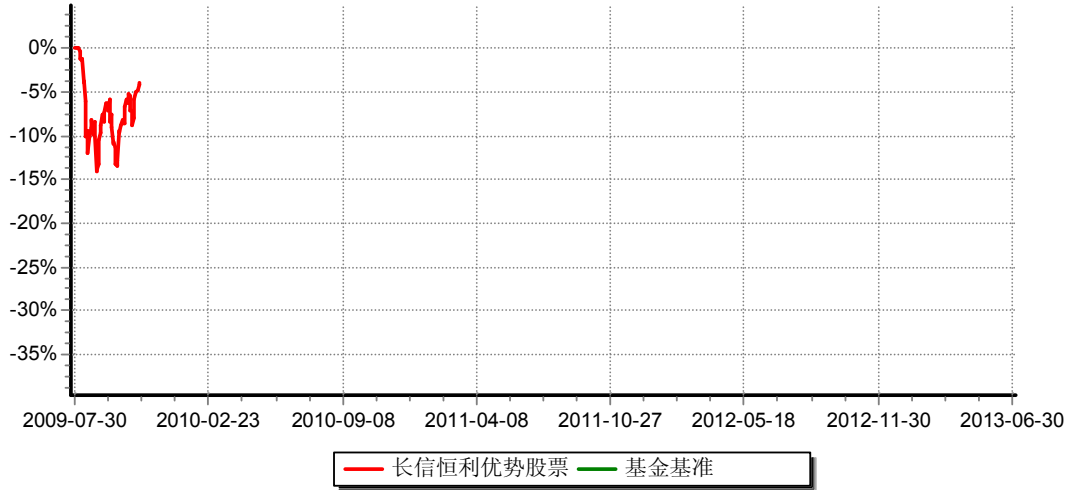
3.2

3.2.1

					-	-
	-11.15%	1.82%	-11.00%	1.30%	-0.15%	0.52%
	0.82%	1.41%	-8.06%	1.01%	8.88%	0.40%
	4.81%	1.38%	-8.41%	1.05%	13.22%	0.33%
	5.56%	1.25%	-6.20%	0.96%	11.76%	0.29%
	-8.18%	1.32%	-6.19%	0.96%	-1.99%	0.36%
	-25.90%	1.35%	-23.87%	1.06%	-2.03%	0.29%

2009 7 30						
2013 6 30						

3 2 2



§ 4

4.1

4.1.1

2003 63

1.5

49%

34 33%

16 67%

2013 6 30

15

100

LOF

100

011

4.1.2

		2011 3 30		6	2007 7 2010 5 26

1

/

2

4 2

4 3

4 3 1

4 3 2

5%

4 4

4 4 1

2013

1

2012

12%

40%

GP

8

5

3	12
10%	
30%	
4	3
5	
6	
15	
7	
8	

§ 5

5 1

5 2

5 3

§ 6

6.1

2013 6 30

	2013 6 30	2012 12 31
	28,747,960.25	23,749,465.02
	421,571.03	343,047.87
	118,197.90	500,000.00
	176,958,299.03	179,772,207.93
	176,958,299.03	179,772,207.93
		7,213,292.55
	6,210.59	5,890.64
	2,271.22	2,072.72
	206,254,510.02	211,585,976.73
	2013 6 30	2012 12 31

	1,563,679.59	
	16,153.97	274,075.87
	268,207.88	248,494.42
	44,701.32	41,415.73
	206,641.87	218,719.96
	148,805.97	550,159.66
	2,248,190.60	1,332,865.64
	275,324,410.41	297,179,441.90
	-71,318,090.99	-86,926,330.81
	204,006,319.42	210,253,111.09
	206,254,510.02	211,585,976.73

2013 6 30

Q 741

275,324,410.41

6.2

2013 1 1 2013 6 30

	2013 1 1	2013 6 30	2012 1 1	2012 6 30
		13,472,133.84		9,726,922.19
1.		114,669.84		160,415.18
		114,669.84		160,415.18

2	" _"	20,413,558.09
		-21,812,979.54
		18,756,816.26
		-23,246,533.41
		1,656,741.83
		1,433,553.87
3	" _"	-7,057,543.75
		31,378,066.12
4	" "	
5	" _"	1,449.66
		1,420.43
		3,145,022.89
		3,230,539.99
1		1,611,270.52
		1,672,412.24
2		268,545.04
		278,735.33
3		
4		1,105,818.70
		1,119,848.97
5		
6		159,388.63
		159,543.45
" _"		10,327,110.95
		6,496,382.20
" _"		10,327,110.95
		6,496,382.20

6.3

2013 1 1 2013 6 30

	2013 1 1 2013 6 30
--	--------------------

) (297,179,441.90	-86,926,330.81	210,253,111.09
()		10,327,110.95	10,327,110.95
" _"	-21,855,031.49	5,281,128.87	-16,573,902.62
1.	2,574,974.58	-560,316.94	2,014,657.64
2	-24,430,006.07	5,841,445.81	-18,588,560.26
" _"			
h Ā 901(ó)-0.58017(Ā)G#7901(G#)-0.58017(Ā)10.7901(Ng)-0.58017(x)10.7901(c)-0.58017({}10.7901(*ó Ā 275,324,410.81597(-71,138,190.92886204,008,819.42126			

--	--	--

64

641

(" ")

(" ")

([2009] 439)

2009 6 26 2009 7 24

2009 7 30

[2009] 509

2009 3646

984, 191, 353 68

294, 026 76

294, 026 76

984, 485, 380 44

60% 95%

5% 40%

5%

80%

300 * 70%+

* 30%

6 4 2

2007

6 4 4

6 4 3

15 - 38 (" ") 2006 2
") ("

6 4 2

6 4 4

6 4 4 1

6 4 4 2

6 4 5

[1998] 55

[2002] 128

[2004] 78

[2005] 102

[2005] 107

[2008] 16

[2008] 1

(1)

(2)

(3)

20%

[2012] 85

2013 1 1

1

1

1

1 1

50%

1

25%

(4)

Q 1%

(5)

()

6 4 6

6 4 6 1

6 4 6 2

6 4 7

6 4 7.1

6 4 7.1.1

6 4 7.1.2

6 4 7.1.3

6 4 7.2

6 4 7.2.1

	2013 1 1	2013 6 30	2012 1 1	2012 6 30
		1, 611, 270 52		1, 672, 412 24
		378844 12		399, 835 28

1. 5%

=

× 1. 5%

6 4 7.2.2

	2013 1 1	2013 6 30	2012 1 1	2012 6 30
		268, 545 04		278, 735 33

0 25%

=

× 0 25%

6 4 7.3

()

()

647.4

647.41

	2013 1 1	2013 6 30	2012 1 1	2012 6 30
		20,001,500.00		20,001,500.00

/

§ 7

7.1

			(%)
1		176,958,299.03	85.80
		176,958,299.03	85.80
2			
3			
4			
5		29,169,531.28	14.14
6		126,679.71	0.06
7		206,254,510.02	100.00

7.2

			(%)
A		6,794,526.30	3.33
B		741,500.00	0.36
C		110,889,618.11	54.36
D			
E		11,685,200.00	5.73
F		8,381,791.03	4.11
G			
H			
I		9,320,428.92	4.57
J		16,281,234.67	7.98
K		12,864,000.00	6.31
L			
M			

N			
O			
P			
Q			
R			
S			
		176,958,299.03	86.74

7.3

					(%)
1	300196		447,470	9,714,573.70	4.76
2	601126		590,000	8,525,500.00	4.18

7	600332		8,614,568.23	4.10
8	600276		8,445,504.09	4.02
9	002327		7,958,249.90	3.79
10	300041		7,795,096.15	3.71
11	300261		7,262,497.56	3.45
12	002431		7,176,290.25	3.41
13	600837		7,070,454.96	3.36
14	600150		7,036,419.00	3.35
15	601601		6,864,466.14	3.26
16	600889		6,388,975.36	3.04
17	600406		6,164,461.67	2.93
18	600079		6,151,499.00	2.93
19	300124		5,971,064.38	2.84
20	002305		5,879,984.76	2.80
21	002010		5,827,969.10	2.77
22	300197		5,669,197.49	2.70
23	601166		5,640,028.56	2.68
24	002482		5,542,431.10	2.64
25	600108		5,245,664.03	2.49
26	300217		5,040,270.25	2.40
27	002293		4,932,162.31	2.35
28	600073		4,883,402.00	2.32
29	000707		4,815,785.41	2.29
30	002138		4,787,162.74	2.28
31	002508		4,685,350.58	2.23
32	600552		4,657,358.68	2.22
33	002310		4,648,144.19	2.21
34	002568		4,643,645.24	2.21
35	300077		4,638,754.65	2.21
36	600280		4,421,011.54	2.10
37	600195		4,323,544.14	2.06
38	002317		4,303,763.01	2.05
39	000807		4,271,032.00	2.03

“ ”

7.4.2

2% 20

				(%)
1	000012		14,545,462.24	6.92
2	002091		13,668,289.96	6.50
3	000650		11,782,420.02	5.60
4	002431		11,640,065.76	5.54
5	000046		9,542,941.54	4.54
6	600521		9,067,215.36	4.31
7	002405		8,853,588.98	4.21
8	600552		8,467,681.03	4.03
9	300124		8,091,087.31	3.85
10	300041		8,053,663.84	3.83
11	002327		7,943,003.58	3.78
12	300086		7,926,831.60	3.77
13	600889		7,407,003.39	3.52
14	600150		6,940,718.22	3.30
15	300062		6,666,749.32	3.17
16	600837		6,664,950.89	3.17
17	600332		6,574,706.74	3.13
18	600511		6,218,477.39	2.96
19	600496		6,109,639.36	2.91
20	300197		6,048,151.31	2.88
21	601601		5,990,158.48	2.85
22	600352		5,529,246.13	2.63
23	300196		5,374,614.33	2.56
24	601166		5,373,779.40	2.56
25	600380		5,261,872.28	2.50
26	600976		5,255,426.48	2.50
27	002230		5,138,220.24	2.44
28	002041		4,968,259.76	2.36
29	600519		4,921,297.58	2.34
30	000707		4,735,031.08	2.25
31	002293		4,512,303.37	2.15
32	600073		4,466,371.50	2.12
33	600880		4,427,491.52	2.11

34	002568		4,358,857.34	2.07
35	000100	TCL	4,280,996.66	2.04
36	002643		4,244,060.34	2.02

“ ”

7.4.3

	355,166,562.33
	369,679,743.74

“ ” “ ” “ ”

7.5

7.6

7.7

7.8

7.9

7.10

7.10.1

7.10 2

7.10 3

1		118,197.90
2		
3		
4		6,210.59
5		2,271.22
6		
7		
8		
9		126,679.71

7.10 4

7.10 5

7.10 6

§ 8

8.1

()					
7199	38,244.81	26,532,795.77	9.64%	248,791,614.64	90.36%

8.2

	106,741.25	0.04%

1

0

2

10

50

§ 9

(2009 7 30)	984,485,380.44
	297,179,441.90
	2,574,974.58
	24,430,006.07
	275,324,410.41

§ 10

10 1

10 2

1 2013 1 5

2

10 3

10 4

10 5

10 6

10 7

	1	242,921,656.85	33.51%	216,376.16	33.12%	

	1	241,101,338.04	33.26%	219,497.80	33.60%	
	1	185,663,457.08	25.61%	167,136.56	25.59%	
	1	55,159,854.10	7.61%	50,217.20	7.69%	
	1	—	—	—	—	
	1	—	—	—	—	

1

2

<1998>29

[2007]48

1

1

2

3

4

2