

100
2012 3
2012 9 30

2012 10 25

§ 1

2012 10 23

2012 7 1 2012 9 30

§ 2

	100	011
	519981	
	519981	
	2011 3 30	
	27,657,663.83	
	100	"
	100	"
		0.50%
		7.94%
		80%

		100
	15%	
		100
		Bank Of Chi na Hng Kong Li nited

§ 3

3 1

	2012 7 1 -2012 9 30
1.	864,984.67
2	2,242,302.23
3	0.0632
4	29,884,872.82
5	1.081

1

2

3 2

3 2 1

					-	-
	6.71%	Q.69%	6.48%	Q.74%	Q.23%	-0.03%

3 2 2



1 2011 3 30 2011 3 30
2012 9 30

2 6

100

80% 95%

5% 20%

0% 15%

3

§ 4

4 1

		2011 3 30		15	SEC SFA 10 / Empyrean Capital Partners 2009 5

1

/

2

4 2

100

4 3

4 3 1

4 3 2

4 4

4 4 1

CE3

ESM

CE3

4 4 2

2012 9 30

1.081

1.081

6.71%

6.48%

0.23%

100

0.14%

2.29%

§ 5

5 1

			%
1		25,868,532.39	83.12
		25,868,532.39	83.12
2			
3			
4			
5			
6			
7		5,143,401.75	16.53

8		108,680.84	0.35
9		31,110,614.98	100.00

5.2

			%
	25,858,532.39		86.53
	25,858,532.39		86.53

5.3

5.3.1

			%
	941,062.55		3.15
	745,380.62		2.49
	502,363.70		1.68
	3,866,261.90		12.94
	3,331,088.81		11.15
	3,304,379.75		11.06
	2,987,778.18		10.00
	2,949,124.09		9.87
	2,812,996.14		9.41
	2,777,406.08		9.29
	24,217,841.82		81.04

(GCS)

5.3.2

			%
	1,640,543.52		5.49
	147.05		0.00
	1,640,690.57		5.49

(GCS)

5.4

5.4.1

(
)

(%)

	CCRP							
2	PH LLI PS 66	Phillip s 66	PSX US			0 5	147. 05	0 00

5 5

5 6

5 7

5 8

5 9

5 10

5 10 1

5 10 2

5 10 3

1		

2		
3		29,902.13
4		256.46
5		78,522.25
6		
7		
8		108,680.84

5 10 4

5 10 5

5 10 6

§ 6

	42,924,139.05
	3,087,634.13
	18,354,109.35
	27,657,663.83

§ 7

7.1

1

2 100

3 100

4 100

5

6

7.2

7.3

<http://www.cxfund.com.cn>

2012 10 25