

2011

2011 12 31

2012 03 28

§ 1

1.1

“ ”

2012 3 26

2011 1 1 2011 12 31

1.2

| | |
|------|----|
| § 1 | 2 |
| 1.1 | 2 |
| 1.2 | 3 |
| § 2 | 5 |
| 2.1 | 5 |
| 2.2 | 5 |
| 2.3 | 5 |
| 2.4 | 6 |
| 2.5 | 6 |
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| 3.1 | 7 |
| 3.2 | 7 |
| 3.3 | 9 |
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| 4.1 | 10 |
| 4.2 | 11 |
| 4.3 | 11 |
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| 4.7 | 14 |
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| 9.1 | 54 |
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| 11.1 | 56 |
| 11.2 | 56 |

| | | |
|------|-------|----|
| 11.3 | | 56 |
| 11.4 | | 56 |
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| 11.7 | | 57 |
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| § 12 | | 61 |
| 12.1 | | 61 |
| 12.2 | | 61 |
| 12.3 | | 61 |

§ 2

2.1

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| | |
| | |
| | 519989 |
| | 519989 |
| | |
| | 2008 12 29 |
| | |
| | |
| | 174, 231, 258.48 |
| | |

2.2

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| | |
| | 20% |
| | $\times 90\% + 800 \times 10\%$ |
| | |

2.3

| | | |
|--|---------------------|-----------------------|
| | | |
| | | |
| | | |
| | 021-61009999 | 010-63201510 |
| | zhouyg@xfund.com.cn | lifangfei@abchina.com |
| | 400-700-5566 | 95599 |
| | 021-61009800 | 010-63201816 |

| | | |
|--|--------|----------|
| | 68 9 | 69 |
| | 68 9 | 28 F9 |
| | 200120 | 100031 |
| | | |

24

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|--|-------------------|----|
| | | |
| | www.cxfund.com.cn | |
| | 68 9 28 | F9 |

25

| | | |
|--|--|-------|
| | | |
| | | 1 2 8 |
| | | 17 |

§ 3

3.1

| 3.1.1 | 2011 | 2010 | 2009 |
|-------|----------------|----------------|----------------|
| | -11,616,362.73 | 37,934,228.99 | 9,230,474.10 |
| | -18,017,905.01 | 38,031,315.76 | 10,453,993.60 |
| | -0.0574 | 0.0901 | 0.0222 |
| | -5.67% | 8.48% | 2.17% |
| | -6.92% | 10.81% | 3.74% |
| 3.1.2 | 2011 | 2010 | 2009 |
| | -7,973,935.63 | 563,919.58 | 2,003,275.37 |
| | -0.0458 | 0.0010 | 0.0074 |
| | 166,409,654.92 | 568,892,763.92 | 273,702,221.81 |
| | 0.955 | 1.0260 | 1.017 |
| 3.1.3 | 2011 | 2010 | 2009 |
| | 7.00% | 14.95% | 3.74% |

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2

3

3.2

3.2.1

| | | | | | | |
|--|--|--|--|--|---|---|
| | | | | | - | - |
|--|--|--|--|--|---|---|

| | | | | | | |
|-------------------------|--------|-------|--------|-------|--------|-------|
| | 0.63% | 0.44% | 2.90% | 0.18% | -2.27% | 0.26% |
| | -5.91% | 0.42% | 1.28% | 0.17% | -7.19% | 0.25% |
| | -6.92% | 0.35% | 2.17% | 0.18% | -9.09% | 0.17% |
| | 7.00% | 0.33% | 11.33% | 0.20% | -4.33% | 0.13% |
| 2008 12 29 - 2011 12 31 | 7.00% | 0.33% | 11.26% | 0.20% | -4.26% | 0.13% |

3 2 2



1 2008 12 29 2011 12 31

2

6

§ 4

4.1

4.1.1

2003 63

1.5

49%

34 33%

16 67%

2011 12 31

13

100

LOF

100

QDI

4.1.2

| | | 2008 12 29 | | 14 | QDI Investors Group Financial Services Co. Ltd 2002 10 |
|--|--|------------|--|----|--|

1

2

4 2

4 3

3%

4 4 2

0 955

-6 92%

1. 075

2 17%

0 35%

4 5

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2011

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47

2008 9 12

[2008] 38

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48

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50%

8

§ 5

5 1

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2011 1 1 2011 12 31

5 2

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§ 6

6.1

| | |
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| | |
| | |
| | KPMG-B(2012)AR No. 0130 |

6.2

| | |
|--|------------------|
| | |
| | |
| | (2011) |
| | " 12 31 ") 2011 |
| | (1) |
| | (" ") |
| | (2) |
| | |

| | |
|--|----------------------------|
| | |
| | 2011 12 31 |
| | 2011 |
| | |
| | |
| | 1 2 8 |
| | 2012-03-21 |

§ 7

7.1

2011 12 31

| | | 2011 12 31 | 2010 12 31 |
|--|---------|----------------|----------------|
| | | | |
| | 7.4.7.1 | 6,598,023.87 | 4,706,254.02 |
| | | 2,158,713.25 | 2,068,497.37 |
| | | 250,000.00 | 691,613.90 |
| | 7.4.7.2 | 185,227,287.06 | 570,554,327.59 |
| | | 28,707,306.58 | 107,405,372.73 |
| | | | |
| | | 156,519,980.48 | 463,148,954.86 |
| | | | |
| | 7.4.7.3 | | |
| | 7.4.7.4 | | |
| | | 315,973.71 | 5,474,847.53 |
| | 7.4.7.5 | 5,263,302.93 | 11,217,827.72 |
| | | | |
| | | 47,600.00 | 865,987.14 |

| | | | |
|--|--|------------|--------------|
| | | 389,295.97 | 2,029,666.69 |
| | | 102,221.31 | 414,440.60 |
| | | 29,206.07 | 118,411.60 |

| | | | |
|----------|----------|----------------|---------------|
| | | | |
| | 7.4.7.11 | -13,913,452.50 | 30,040,694.95 |
| | | | |
| | 7.4.7.12 | -2,658,091.48 | 5,739,017.36 |
| | | | |
| | 7.4.7.13 | | |
| | 7.4.7.14 | 169,599.19 | 150,769.68 |
| 3 "-" | 7.4.7.15 | -6,401,542.28 | 97,086.77 |
| 4 " " | | | |
| 5 "-" | 7.4.7.16 | 135,993.40 | 498,791.83 |
| | | 8,972,174.62 | 10,482,194.21 |

1

| | | | |
|------|----------------------------|------------------|--------------------|
| " _" | - 380,095,467.63 | - 4,369,736.36 | - 384,465,203.99 |
| 1. | 157,802,944.06 | 4,090,213.98 | 161,893,158.04 |
| 2 | - 537,898,411.69 | - 8,459,950.34 | - 546,358,362.03 |
| " _" | | | |
| | 174,231,258.48 | - 7,821,603.56 | 166,409,654.92 |
| | 2010 01 01 2010 12 31 | | |
| () | 269,204,544.77 | 4,497,677.04 | 273,702,221.81 |
| () | | 38,031,315.76 | 38,031,315.76 |
| " _" | 285,122,181.34 | 80,875,699.80 | 365,997,881.14 |
| 1. | 2,180,839,663.76 | 180,242,953.55 | 2,361,082,617.31 |
| 2 | - 1,895,717,482.42 | - 99,367,253.75 | - 1,995,084,736.17 |
| " _" | | - 108,838,654.79 | - 108,838,654.79 |
| | 554,326,726.11 | 14,566,037.81 | 568,892,763.92 |

7.1 7.4

7.4

7.4.1

(" ")
" "
([2008] 1252)

2008 12 29

819,356,829.46

(" ")

()

80%

20%

20%

5%

× 90%+ 800 × 10%

7.4.2

(" ") 2006 2 15
- 38
("
") 2007

7.4.4

7.4.3

2011 12

31

2011

7.4.2

7.4.4

7.4.4.1

1 1 12 31

7.4.4.2

7.4.4.3

(7.4.4.5)

7.4.4.4

(

)

7.4.4.5

(a)

(i)

/()

(ii)

)

(

7.4.4.5(a)(iii)

/()

(iii)

()

(c)

7.446

Q 25%

Q 25%

(a)

(i)

(ii)

(iii)

(iv)

(v)

(b)

(i)

(ii)

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(iv)

(c)

(i)

(ii)

(iii)

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7.4 4 10 /()

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7.4.4.12

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1

50%

7.4.4.13

(1)

(2)

(3)

7.4.5

7.4.5.1

7.4.5.2

7.4.5.3

7.4.6

7.4.6.1

[1998] 55

[2002] 128

[2004] [2004] 704 [

| | | |
|--|--------------|--------------|
| | 2011 12 31 | 2010 12 31 |
| | 3,209,509.61 | 2,690,493.28 |

7.4.7

7.4.7.1

| | | |
|--|--------------|--------------|
| | 2011 12 31 | 2010 12 31 |
| | 6,598,023.87 | 4,706,254.02 |
| | | |
| | 6,598,023.87 | 4,706,254.02 |

7.4.7.2

| | | | |
|--|----------------|----------------|---------------|
| | 2011 12 31 | | |
| | | | |
| | 31,184,892.02 | 28,707,306.58 | -2,477,585.44 |
| | 79,166,571.48 | 78,554,980.48 | -611,591.00 |
| | 79,956,759.57 | 77,965,000.00 | -1,991,759.57 |
| | 159,123,331.05 | 156,519,980.48 | -2,603,350.57 |
| | | | |
| | | | |
| | 190,308,223.07 | 185,227,287.06 | -5,080,936.01 |
| | 2010 12 31 | | |
| | | | |
| | 107,309,427.52 | 107,405,372.73 | 95,945.21 |
| | 162,827,563.97 | 165,175,954.86 | 2,348,390.89 |
| | 299,096,729.83 | 297,973,000.00 | -1,123,729.83 |
| | 461,924,293.80 | 463,148,954.86 | 1,224,661.06 |
| | | | |

| | | | |
|--|----------------|----------------|--------------|
| | | | |
| | | | |
| | 569,233,721.32 | 570,554,327.59 | 1,320,606.27 |

7.4.7.3 /

7.4.7.4

7.4.7.5

| | 2011 12 31 | 2010 12 31 |
|--|--------------|---------------|
| | 2,304.21 | 11,502.69 |
| | | |
| | 1,556.78 | 796.40 |
| | 5,259,441.93 | 11,203,527.42 |
| | | |
| | 0.01 | 2,001.21 |
| | | |
| | 5,263,302.93 | 11,217,827.72 |

7.4.7.6

| | 2011 12 31 | 2010 12 31 |
|--|------------|------------|
| | 204,299.62 | 755,889.10 |
| | 2,404.00 | 9,890.67 |
| | 206,703.62 | 765,779.77 |

7.4.7.7

| | | |
|--|------------|------------|
| | 2011 12 31 | 2010 12 31 |
| | 250,000.00 | 250,000.00 |

| | 2011 01 01 12 31 | 2011 12 31 | 2010 01 01 12 31 | 2010 |
|--|---------------------|---------------|---------------------|------------|
| | | 149,089.24 | | 205,566.89 |
| | | | | |
| | | 27,485.47 | | 21,292.97 |
| | | 250.55 | | 24,388.66 |
| | | 176,825.26 | | 251,248.52 |

7.4 7.11

7.4 7.11.1

—

| | 2011 01 01 12 31 | 2011 12 31 | 2010 01 01 12 31 | 2010 |
|--|---------------------|----------------|---------------------|------------------|
| | | 877,193,615.31 | | 1,256,112,525.24 |
| | | 891,107,067.81 | | 1,226,071,830.29 |
| | | -13,913,452.50 | | 30,040,694.95 |

7.4 7.12

| | 2011 01 01 12 31 | 2011 12 31 | 2010 01 01 12 31 | 2010 |
|--|---------------------|------------------|---------------------|------------------|
| | | 1,218,445,400.23 | | 1,114,362,424.58 |
| | | 1,193,995,962.57 | | 1,094,318,012.85 |
| | | 27,107,529.14 | | 14,305,394.37 |
| | | -2,658,091.48 | | 5,739,017.36 |

7.4 7.13

7.4 7.14

| | 2011 01 01 12 31 | 2011 | 2010 01 01 12 31 | 2010 |
|--|---------------------|------------|---------------------|------------|
| | | 169,599.19 | | 150,769.68 |
| | | | | |
| | | 169,599.19 | | 150,769.68 |

7.4 7.15

| | 2011 01 01 12 31 | 2011 | 2010 01 01 12 31 | 2010 |
|----|---------------------|---------------|---------------------|---------------|
| 1. | | -6,401,542.28 | | 97,086.77 |
| — | | -2,573,530.65 | | -2,923,350.54 |
| — | | -3,828,011.63 | | 3,020,437.31 |
| — | | | | |
| 2 | | | | |
| — | | | | |
| 3 | | | | |
| | | -6,401,542.28 | | 97,086.77 |

7.4 7.16

| | 2011 01 01 12 31 | 2011 | 2010 01 01 12 31 | 2010 |
|--|---------------------|------------|---------------------|------------|
| | | 121,547.94 | | 429,419.21 |
| | | 14,445.46 | | 69,372.62 |
| | | | | |
| | | 135,993.40 | | 498,791.83 |

23%

7.4 7.17

| | 2011 01 01 12 31 | 2011 | 2010 01 01 12 31 | 2010 |
|--|---------------------|--------------|---------------------|--------------|
| | | 2,608,195.51 | | 3,848,132.20 |
| | | 6,675.00 | | 14,525.00 |
| | | 2,614,870.51 | | 3,862,657.20 |

7.4.7.18

| | 2011 01 01 12 31 | 2011 | 2010 01 01 12 31 | 2010 |
|--|---------------------|------------|---------------------|------------|
| | | 60,000.00 | | 70,000.00 |
| | | 180,000.00 | | 220,000.00 |
| | | 18,000.00 | | 18,000.00 |
| | | 13,798.97 | | 21,369.42 |
| | | 271,798.97 | | 329,369.42 |

7.4.8

7.4.8.1

7.4.8.2

| | |
|--|--|
| | |
|--|--|

7.4 10

7.4 10 1

7.4 10 1.1

—

7.4 10 1.2

—

7.4 10 1.3

—

7.4 10 2

7.4 10 2 1

| | 2011 01 01 31 | 2011 12 31 | 2010 01 01 31 | 2010 12 31 |
|--|------------------|---------------|------------------|---------------|
| | | 2,247,277.29 | | 3,124,439.13 |
| | | 226,996.82 | | 282,593.42 |

Q 70%

=

× Q 70%

7.4 10 2 2

| | 2011 01 01 31 | 2011 12 31 | 2010 01 01 31 | 2010 12 31 |
|--|------------------|---------------|------------------|---------------|
| | | 642,079.19 | | 892,696.85 |

Q 20%

=

× Q 20%

7.4 10 2 3

| | 2011 01 01 | | 2011 12 31 | |
|--|------------|--|------------|--------------|
| | | | | |
| | | | | 806,305.98 |
| | | | | 58,556.76 |
| | | | | 288.06 |
| | | | | 865,150.80 |
| | 2010 01 01 | | 2010 12 31 | |
| | | | | |
| | | | | 1,186,591.17 |
| | | | | 118,843.08 |
| | | | | 163.00 |
| | | | | 1,305,597.25 |

Q 40%

=

× Q 40%

7.4 10 3

()

| | 2011 01 01 | | 2011 12 31 | | | |
|--|------------|---|------------|---|---|---|
| | | | | | | |
| | - | - | - | - | - | - |
| | 2010 01 01 | | 2010 12 31 | | | |
| | | | | | | |

| | | | | | | |
|--|----------------|---|---|---|---|---|
| | 129,253,445.72 | - | - | - | - | - |
|--|----------------|---|---|---|---|---|

7.4 10 4

7.4 10 4 1

7.4 10 4 2

7.4 10 5

| | 2011 01 01 | 2011 12 31 | 2010 01 01 | 2010 12 31 |
|--|--------------|------------|--------------|------------|
| | | | | |
| | 6,598,023.87 | 149,089.24 | 4,706,254.02 | 205,566.89 |

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2011 12 31

2,158,713.25 (2010 2,068,497.37)

7.4 10 6

7.4 10 7

7.4 11

2011

7.4 12 2011 12 31

7.4 12 1 /

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7.4 12 2

7.4 12 3

7.4 12 3 1

2011 12 31

28,100,000.00

2012 1 4

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7.4 13

7.4 13 1

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7.4 12

7.4 13 4

7.4 13 4 1

7.4 13 4 1.1

| | | | | | | |
|------------|----------------|---------------|----------------|---------------|----------------|----------------|
| 2011 12 31 | 6 | 6 1 | 1 5 | 5 | | |
| | 6,598,023.87 | | | | | 6,598,023.87 |
| | 2,158,713.25 | | | | | 2,158,713.25 |
| | | | | | 250,000.00 | 250,000.00 |
| | 30,396,013.80 | 580,491.80 | 85,530,494.88 | 40,012,980.00 | 28,707,306.58 | 185,227,287.06 |
| | | | | | 315,973.71 | 315,973.71 |
| | | | | | 5,263,302.93 | 5,263,302.93 |
| | | | | | 47,600.00 | 47,600.00 |
| | 39,152,750.92 | 580,491.80 | 85,530,494.88 | 40,012,980.00 | 34,584,183.22 | 199,860,900.82 |
| | 28,100,000.00 | | | | | 28,100,000.00 |
| | | | | | 845,134.43 | 845,134.43 |
| | | | | | 389,295.97 | 389,295.97 |
| | | | | | 102,221.31 | 102,221.31 |
| | | | | | 29,206.07 | 29,206.07 |
| | | | | | 58,412.21 | 58,412.21 |
| | | | | | 206,703.62 | 206,703.62 |
| | | | | | 3,209,509.61 | 3,209,509.61 |
| | | | | | 15,970.10 | 15,970.10 |
| | | | | | 494,792.58 | 494,792.58 |
| | 28,100,000.00 | | | | 5,351,245.90 | 33,451,245.90 |
| | 11,052,750.92 | 580,491.80 | 85,530,494.88 | 40,012,980.00 | 29,232,937.32 | 166,409,654.92 |
| 2010 12 31 | 6 | 6 1 | 1 5 | 5 | | |
| | 4,706,254.02 | | | | | 4,706,254.02 |
| | 2,068,497.37 | | | | | 2,068,497.37 |
| | | | | | 691,613.90 | 691,613.90 |
| | 278,083,000.00 | 19,890,000.00 | 108,517,693.76 | 56,658,261.10 | 107,405,372.73 | 570,554,327.59 |
| | | | | | 5,474,847.53 | 5,474,847.53 |
| | | | | | 11,217,827.72 | 11,217,827.72 |

| | | | | | | |
|--|----------------|---------------|----------------|---------------|----------------|----------------|
| | | | | | 865,987.14 | 865,987.14 |
| | | | | | | |
| | 284,857,751.39 | 19,890,000.00 | 108,517,693.76 | 56,658,261.10 | 125,655,649.02 | 595,579,355.27 |
| | | | | | | |
| | 20,000,000.00 | | | | | 20,000,000.00 |
| | | | | | | |
| | | | | | 2,029,666.69 | 2,029,666.69 |
| | | | | | 414,440.60 | 414,440.60 |
| | | | | | 118,411.60 | 118,411.60 |
| | | | | | 236,823.18 | 236,823.18 |
| | | | | | 765,779.77 | 765,779.77 |
| | | | | | 2,690,493.28 | 2,690,493.28 |
| | | | | | 4,952.46 | 4,952.46 |
| | | | | | 426,023.77 | 426,023.77 |
| | 20,000,000.00 | | | | 6,686,591.35 | 26,686,591.35 |
| | 264,857,751.39 | 19,890,000.00 | 108,517,693.76 | 56,658,261.10 | 118,969,057.67 | 568,892,763.92 |

7.4.13.4.1.2

| | | | |
|--|----|---------------|---------------|
| | | | |
| | | | |
| | | 2011 12 31 | 2010 12 31 |
| | 27 | 1,407,121.79 | 1,676,981.37 |
| | 27 | -1,407,121.79 | -1,676,981.37 |

7.4.13.4.2

7.4.13.4.3

VaR(Value at Risk)

7.4.13.4.3.1

| | 2011 12 31 | | 2010 12 31 | |
|---|----------------|--------|----------------|--------|
| | | % | | % |
| - | 28,707,306.58 | 17.25 | 107,405,372.73 | 18.88 |
| - | 156,519,980.48 | 94.06 | 463,148,954.86 | 81.41 |
| | | | | |
| | 185,227,287.06 | 111.31 | 570,554,327.59 | 100.29 |

7.4.13.4.3.2

| | 95% | 2011 12 31 | | VaR |
|--|-----|--------------------|---------------|-----|
| | | Q 50% (2010 Q 47%) | | |
| | | | | |
| | | 2011 12 31 | 2010 12 31 | |
| | | -832,713.91 | -3,470,245.86 | |

VaR

95%

2010

7.4.14

12 31

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| | | | | |
|------|----------------|---------------|---|----------------|
| 2011 | | | | |
| | | | | |
| | 27,399,819.58 | 1,307,487.00 | - | 28,707,306.58 |
| | 131,878,283.18 | 24,641,697.30 | - | 156,519,980.48 |
| | 159,278,102.76 | 25,949,184.30 | - | 185,227,287.06 |

| | | | | |
|------|----------------|----------------|---|----------------|
| 2010 | | | | |
| | | | | |
| | 104,797,372.73 | 2,608,000.00 | - | 107,405,372.73 |
| | 165,175,954.86 | 297,973,000.00 | - | 463,148,954.86 |
| | 269,973,327.59 | 300,581,000.00 | - | 570,554,327.59 |

2011

2011

7.4.4.5 7.4.4.6

§ 8

8.1

| | | | (%) |
|---|--|----------------|--------|
| 1 | | 28,707,306.58 | 14.36 |
| | | 28,707,306.58 | 14.36 |
| 2 | | 156,519,980.48 | 78.31 |
| | | 156,519,980.48 | 78.31 |
| 3 | | | |
| 4 | | | |
| 5 | | 8,756,737.12 | 4.38 |
| 6 | | 5,876,876.64 | 2.94 |
| 7 | | 199,860,900.82 | 100.00 |

8.2

| | | | (%) |
|-----|--|--------------|------|
| A | | | |
| B | | 1,510,236.00 | 0.91 |
| C | | 9,171,771.14 | 5.51 |
| 00 | | 1,728,450.00 | 1.04 |
| C1 | | | |
| C2 | | | |
| C3 | | | |
| C4 | | 3,938,689.04 | 2.37 |
| C5 | | 8,367.00 | 0.01 |
| C6 | | 1,063,650.00 | 0.64 |
| C7 | | 2,432,615.10 | 1.46 |
| C8 | | | |
| C99 | | | |

| | | | |
|---|--|---------------|-------|
| D | | | |
| E | | | |
| F | | | |
| G | | 8,382,283.96 | 5.04 |
| H | | 3,717,448.48 | 2.23 |
| I | | | |
| J | | | |
| K | | 1,493,280.00 | 0.90 |
| L | | 4,432,287.00 | 2.66 |
| M | | | |
| | | 28,707,306.58 | 17.25 |

8.3

| | | | | | (%) |
|----|--------|--|---------|--------------|------|
| 1 | 600825 | | 555,000 | 3,185,700.00 | 1.91 |
| 2 | 600088 | | 223,200 | 3,124,800.00 | 1.88 |
| 3 | 002339 | | 114,549 | 2,614,008.18 | 1.57 |
| 4 | 002376 | | 117,998 | 2,225,442.28 | 1.34 |
| 5 | 300031 | | 150,236 | 2,124,337.04 | 1.28 |
| 6 | 002261 | | 105,000 | 2,021,250.00 | 1.21 |
| 7 | 002008 | | 300,332 | 1,970,177.92 | 1.18 |
| 8 | 002387 | | 115,000 | 1,728,450.00 | 1.04 |
| 9 | 002340 | | 77,448 | 1,510,236.00 | 0.91 |
| 10 | 300070 | | 36,000 | 1,493,280.00 | 0.90 |
| 11 | 300027 | | 82,700 | 1,307,487.00 | 0.79 |
| 12 | 002428 | | 35,000 | 1,063,650.00 | 0.64 |
| 13 | 002637 | | 30,000 | 940,200.00 | |

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8 4 1

2% 20

| | | | | (%) |
|----|--------|-----|---------------|------|
| 1 | 000799 | | 28,026,404.64 | 4.93 |
| 2 | 002073 | | 24,193,905.21 | 4.25 |
| 3 | 002091 | | 23,914,309.10 | 4.20 |
| 4 | 002013 | | 23,170,045.63 | 4.07 |
| 5 | 300029 | | 20,992,754.25 | 3.69 |
| 6 | 002254 | | 20,964,903.93 | 3.69 |
| 7 | 600570 | | 20,572,943.14 | 3.62 |
| 8 | 000848 | | 19,185,825.63 | 3.37 |
| 9 | 002008 | | 18,435,180.41 | 3.24 |
| 10 | 600410 | | 18,112,774.29 | 3.18 |
| 11 | 000012 | A | 17,343,644.97 | 3.05 |
| 12 | 000960 | | 16,575,205.06 | 2.91 |
| 13 | 600811 | | 15,672,955.48 | 2.75 |
| 14 | 002230 | | 14,870,572.00 | 2.61 |
| 15 | 000100 | TCL | 14,283,286.17 | 2.51 |
| 16 | 000858 | | 13,458,290.00 | 2.37 |
| 17 | 002155 | | 12,585,857.22 | 2.21 |
| 18 | 000623 | | 12,359,613.00 | 2.17 |
| 19 | 600271 | | 11,848,474.37 | 2.08 |
| 20 | 600825 | | 11,765,440.80 | 2.07 |
| 21 | 600150 | | 11,477,063.91 | 2.02 |
| 22 | 002340 | | 11,380,002.39 | 2.00 |

" / "

8 4 2

2% 20

| | | | | (%) |
|---|--------|--|---------------|------|
| 1 | 000799 | | 30,713,993.98 | 5.40 |
| 2 | 002073 | | 28,663,520.51 | 5.04 |

| | | | | |
|----|--------|-----|---------------|------|
| 3 | 300029 | | 24,654,345.77 | 4.33 |
| 4 | 600570 | | 23,581,035.21 | 4.15 |
| 5 | 002091 | | 23,377,571.96 | 4.11 |
| 6 | 002013 | | 23,258,848.77 | 4.09 |
| 7 | 600410 | | 20,916,715.78 | 3.68 |
| 8 | 002254 | | 20,851,659.94 | 3.67 |
| 9 | 000858 | | 19,636,481.44 | 3.45 |
| 10 | 000848 | | 19,186,671.98 | 3.37 |
| 11 | 000960 | | 18,533,418.65 | 3.26 |
| 12 | 000012 | A | 17,404,939.27 | 3.06 |
| 13 | 002008 | | 16,083,950.63 | 2.83 |
| 14 | 000100 | TCL | 16,015,486.00 | 2.82 |
| 15 | 002230 | | 14,986,087.88 | 2.63 |
| 16 | 600811 | | 14,880,216.62 | 2.62 |
| 17 | 600383 | | 14,087,398.10 | 2.48 |
| 18 | 002155 | | 13,354,489.60 | 2.35 |
| 19 | 000983 | | 12,288,672.73 | 2.16 |
| 20 | 600271 | | 11,902,160.37 | 2.09 |
| 21 | 000623 | | 11,883,441.94 | 2.09 |
| 22 | 600150 | | 11,655,099.48 | 2.05 |

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8.4.3

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| | 814,982,532.31 |
| | 877,193,615.31 |

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8.5

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|---|--|--|-----|
| | | | (%) |
| 1 | | | |

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|---|--|----------------|-------|
| 2 | | 9,918,000.00 | 5.96 |
| 3 | | | |
| 4 | | 125,543,474.88 | 75.44 |
| 5 | | 20,042,000.00 | 12.04 |
| 6 | | | |
| 7 | | 1,016,505.60 | 0.61 |
| 8 | | | |
| 9 | | 156,519,980.48 | 94.06 |

| | | |
|---|--|--------------|
| | | |
| 1 | | 250,000.00 |
| 2 | | 315,973.71 |
| 3 | | |
| 4 | | 5,263,302.93 |
| 5 | | 47,600.00 |
| 6 | | |
| 7 | | |
| 8 | | |
| 9 | | 5,876,876.64 |

8.9.4

| | | | | (%) |
|---|--------|--|------------|------|
| 1 | 110011 | | 464,450.40 | 0.28 |
| 2 | 113001 | | 436,013.80 | 0.26 |
| 3 | 113002 | | 116,041.40 | 0.07 |

8.9.5

8.9.6

§ 9

9.1

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|-------|-----------|---------------|--------|---------------|--------|
| () | | | | | |
| | | | | | |
| | | | | | |
| 7,009 | 24,858.22 | 86,873,011.50 | 49.86% | 87,358,246.98 | 50.14% |

9.2

| | | |
|--|----------|-------|
| | | |
| | 9,718.17 | 0.01% |

§ 10

| | |
|---------------|----------------|
| (2008 12 29) | 819,356,829.46 |
| | 554,326,726.11 |
| | 157,802,944.06 |
| | 537,898,411.69 |
| | |
| | 174,231,258.48 |

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|--|---|----------------|--------|----------------|--------|----------------|--------|------------|--------|--|
| | | | | | | | | | | |
| | 1 | 548,879,767.07 | 32.44% | 90,509,689.90 | 8.82% | | | 445,968.35 | 31.84% | |
| | 1 | 448,241,986.90 | 26.49% | 317,810,280.42 | 30.96% | 860,200,000.00 | 42.32% | 381,004.05 | 27.20% | |
| | 1 | 395,752,806.00 | 23.39% | 35,075,944.52 | 3.42% | 37,400,000.00 | 1.84% | 321,552.75 | 22.96% | |
| | 1 | 126,219,236.71 | 7.46% | 376,280,535.25 | 36.68% | 766,400,000.00 | 37.70% | 107,285.73 | 7.66% | |
| | 1 | 121,378,813.05 | 7.17% | 205,002,901.63 | 19.97% | 354,800,000.00 | 17.46% | 103,171.27 | 7.37% | |
| | 1 | 51,435,103.89 | 3.04% | 1,932,694.07 | 0.19% | 13,852,000.00 | 0.68% | 41,791.33 | 2.98% | |
| | 2 | | | | | | | | | |
| | 1 | | | | | | | | | |
| | 1 | | | | | | | | | |

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| | 1 | | | | | | | | | |
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| 1 | 2010 | | 2011-1-4 |
| 2 | | | 2011-1-15 |
| 3 | | | 2011-1-15 |
| 4 | | | 2011-1-15 |
| 5 | | | 2011-1-15 |
| 6 | | | 2011-1-18 |
| 7 | | 2010 | 2011-1-24 |
| 8 | | | 2011-2-1 |
| 9 | | 2011 1 | 2011-2-12 |
| 10 | | | 2011-2-21 |
| 11 | | | 2011-3-9 |
| 12 | " " | | 2011-3-18 |
| 13 | | 2010 | 2011-3-31 |
| 14 | | | 2011-4-11 |
| 15 | | 2011 | 2011-4-21 |

| | | | |
|----|-----------|--------|------------|
| | | | |
| 16 | | | 2011-4-28 |
| 17 | | | 2011-5-31 |
| 18 | | | 2011-6-10 |
| 19 | | | 2011-6-18 |
| 20 | | | 2011-6-21 |
| 21 | | | 2011-6-22 |
| 22 | | | 2011-7-1 |
| 23 | 2011 6 30 | | 2011-7-1 |
| 24 | | | 2011-7-6 |
| 25 | | | 2011-7-7 |
| 26 | | 2011 | 2011-7-19 |
| 27 | | 2011 2 | 2011-8-13 |
| 28 | | 2011 | 2011-8-27 |
| 29 | | | 2011-9-10 |
| 30 | | 2011 | 2011-10-26 |

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§ 12

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