



2011 1

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2008 12 29

2008 12 29

2008 10 31

2008 12 29



2010 12 29

2010 9 30



			273
			NBA Loftus Capital Partners Limited, Australia KVB Kurun Pty Ltd, Australia



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			ENBA
			<p>2006 1 2010 2</p> <p>2008 6 2010 2</p> <p>2010 3</p>
			<p>ENBA</p> <p>2002</p>

4

		2008 12 29	DM
			Investors



		4	4%
		53	51%
		41	39%
		4	4%
		2	2%
		104	100%

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69

28

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2009 1 15

32,479,411.7

010-63201510

010-63201816

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2009 1 15

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2004	“	”	2007
SAS70			SAS70
		1998	5
2004	9		
2			
		130	
	10		
	20		
3			
2010	12	29	
	87		



1	
68	
68	
021-61009916	021-61009917
400-700-5566	www.cxfund.com.cn
2	
1	
131	
3	6
	010-68858116
95580	www.psbc.com
2	
69	
69	
010-85108229	010-85109219
95599	www.abchina.com
3	
55	
55	
95588	www.icbc.com.cn
4	
1	
1	
95566	www.boc.cn
5	
25	



1 1	
: 95533	
010-66275654	wwwccb.com
6	
188	
188	
021-58781234	021-58408483
95559	www.bankcomm.com
7	
7088	
7088	
0755-83198888	0755-83195109
95555	www.cnbchi na.com
8	
2	
2	
010-58351666	010-83914283
95568	www.cnbtc.com.cn
9	
8 C	
8 C	
010-65557083	
95558	bank.eciti.c.com
10	
22	
22	
010-85238667	010-85238419
010-95577	www.hxb.com.cn
11	
4018	35 28 A02



	4018	35	28	A02
	0755-82558305			0755-28558355
	4008-001-001			www.essence.com.cn
	12			
	6008	14	16	17
	6008	14	16	17
	0755-83516289			0755-83516199
	400-6666-888			www.cgm.com
	13			
	8			
	8			
	027-65799999			027-85481900
	95579 4008-888-999			www.95579.com
	14			
	24			
	1	15	16	
	0411-39673202			0411-39673219
	4008-169-169			www.daton.com.cn
	15			
	510	9		
	500	26		
	021-68761616			021-68767981
	400-8888-128			www.teban.com.cn
	16			
	318	2	22-29	
	318	2	21-29	
	021-63325888			021-63326786
	95503			www.dfzq.com.cn
	17			
	1	30		



1		30	
0769-22100159		0769-22119423	
0769-961130		www.dgzq.com.cn	
18			
181			
181			
0512-65581136		0512-65588021	
0512-33396288		www.dvzq.com.cn	
19			
1508			
1508			
021-22169999		021-22169134	



95	
028-86690126	028-86690126
4006600109	www.gjzq.com.cn
24	
168	
168 6-8	
0510-82831662	0510-82830162
4008885288	www.gjsc.com.cn
25	
618	
168 29	
021-38676666	021-38670666
4008888666	www.gtj.a.com
26	
98	
689	
021-23219000	021-23219100
400-8888-001 95553	www.htsec.com
27	
233	
19	
010-88085858	010-88085195
4008-000-562	www.hysec.com
28	
357	
166 A 24-32	
0551-5161666	0551-5161600
96518 400-80-96518	www.hazq.com
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166 27	



166		27	
021-50122222		021-50122218	
400-820-9898		www.cnhbstock.com	
30			
308			
308			
0931-4890100		0931-4890118	
0931-8730264		www.hlzqgs.com	
31			
		5	17
		18	24
		25	
26			
0755-82492000		0755-82492962	
95513		www.lhzq.com	
32			
90			
90			
0086-25-84457777		0086-25-84579763	
95597		www.htsc.com.cn	
33			
56			
56			
0451-82336863		0451-82287211	
400-666-2288		www.jhzq.com.cn	
34			
291			
291			
0791-6768763		0791-6789414	
400-8866-567		www.avi-csec.com	
35			



20518	
20518	
0531-81283938	0531-81283900
95538	www.qizq.com.cn
36	
336	
336	
021-53519888	021-53519888
4008 -	5



101	
118	24
021-36533016	021-36533017
400-8811-177	www.xzsec.com
42	
63	12
188	
021-68634518	021-68865938
400-888-1551	www.xcsc.com
43	
268	
1199	1 21
021-38565785	
400-8888-123	www.xyzq.com.cn
44	
38-45	
38-45	
0755-82960223	0755-82943121
95565 400-8888-111	www.nevone.com.cn
45	
5	A 6-9
5	A 6-9
010-59355543	010-66553791
40088-95618	www.e5618.com
46	
35	C
35	C
010-66568888	010-66568536
4008-888-888	www.chinastock.com.cn
47	



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188	
010-85130588	010-65182261
400-8888-108	www.csc108.com
48	
588	19 20
588	4 19 20
0571-85776115	0571-85783771
96598	www.ligsun.com.cn
3	
http://www.sse.com.cn/sseportal/ps/zhs/hyzq/zxzg_szjt.jsp	

	27 23	256 1405	1 2 8
	27 23	256 1405	1266 50
	010-58598839	021-51150298	021-22128888
	010-58598907	021-51150398	021-62881889

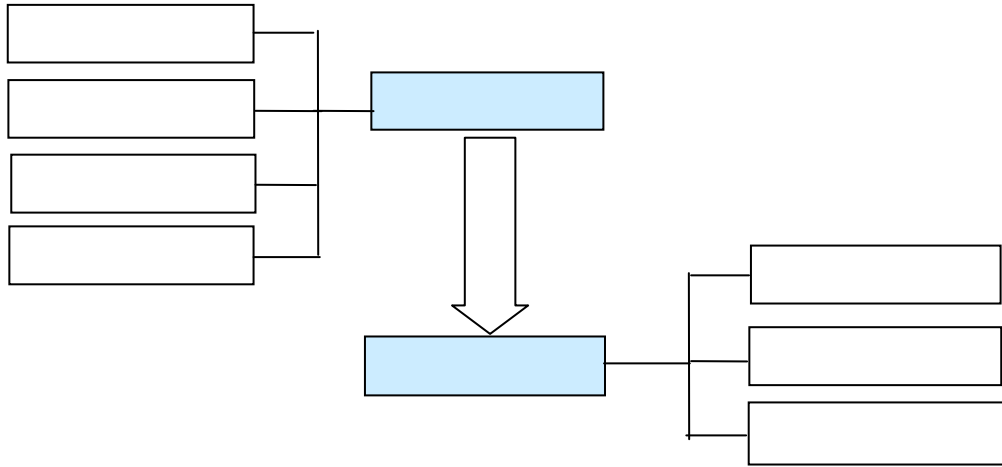


20%

80%

5%

20%



1

A

B

C

D



E

F

G

2

3

ABS

MBS

4

IPO

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6

$\times 90\% + 800 \times 10\%$

2010 7

2010 9 30



			%
1		91,946,348.85	18.25
		91,946,348.85	18.25
2		390,012,308.08	77.40
		390,012,308.08	77.40
3			
4			
5		12,420,532.72	2.46
6		9,543,309.28	1.89
7		503,922,498.93	100.00

A			
B			
C		61,279,055.72	12.89
00		6,534,360.90	1.37
C1		1,693,500.00	0.36
C2			
C3			
C4		5,928,663.83	1.25
C5		2,937,000.00	0.62
C6		19,300.00	
C7		31,255,023.69	6.57
C8		12,911,207.30	2.72
C99			
D			
E			
F		5,401,350.00	1.14
G		10,256,468.53	2.16
H		12,834,474.60	2.70
I		820,000.00	0.17
J			
K			
L		1,355,000.00	0.28



M			
		91,946,348.85	19.34

				()	
1	600089		369,166	6,460,405.00	1.36
2	000759		386,712	5,143,269.60	1.08
3	002024		270,000	4,311,900.00	0.91
4	300029		166,500	4,084,245.00	0.86
5	000625		370,000	4,066,300.00	0.86
6	601111		315,000	3,745,350.00	0.79
7	000800		185,000	3,435,450.00	0.72
8	000868		94,930	3,258,946.90	0.69
9	300044		109,200	3,221,400.00	0.68
10	000400		105,000	3,175,200.00	0.67

1					
2				79,301,000.00	16.68
3				70,035,000.00	14.73
				70,035,000.00	14.73
4				160,100,168.20	33.67
5				40,140,000.00	8.44
6				40,436,139.88	8.50
7					
8				390,012,308.08	82.02

				()	
1	070417	07 17	700,000	70,035,000.00	14.73
2	1001017	10 17	500,000	49,025,000.00	10.31
3	0801017	08 17	300,000	30,276,000.00	6.37
4	0981215	09 CP01	200,000	20,162,000.00	4.24



5	1081280	10	CP01	200,000	19,978,000.00	4.20
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1

2

3

1		
2		825,449.65
3		
4		6,287,459.63
5		2,430,400.00
6		
7		
8		
9		9,543,309.28

4

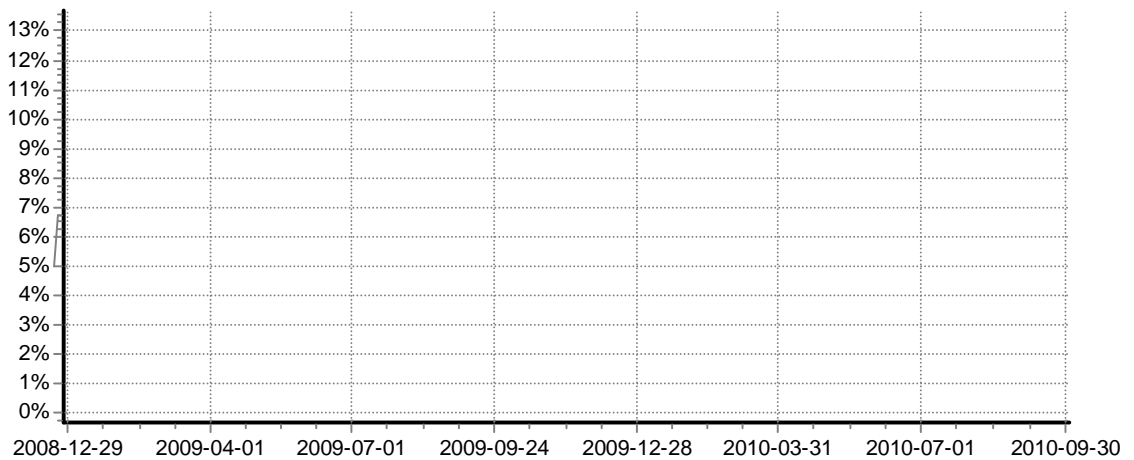
1	125709		15,785,984.20	3.32
2	110003		7,955,316.90	1.67

2010

						-	-
2010 7 1 2010 9 30	4.73%	0.32%	2.81%	0.15%	1.92%	0.17%	
2010 1 1 2010 6 30	3.88%	0.31%	0.88%	0.17%	3.00%	0.14%	
2009 1 1 2009 12 31	3.74%	0.30%	6.53%	0.23%	-2.79%	0.07%	

2008 12 29

2010 9 30





9 30

2

80%

20%

20%

5%

1

1

2

3

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5

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7

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3

1

H E× ÷

0.7%

H

E



3

2

H E× ÷

Q 2%

H

E

3

3

Q 4%

Q 4%

H E× Q 4%

H

E

3

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4 9

5



6

1

2

0.1%

3

2

4

[2009] 32

2010 4 23

1

2

1

x

x



$$\begin{aligned}
 & \left(\quad - \quad \right) \times \quad \div (1 + \quad) \\
 & \quad \div \\
 & 2 \\
 & \quad \times \\
 & \quad \times \quad \times \quad \div (1 \quad) \\
 & \quad \div \\
 & (\quad 1.00 \quad) \\
 & 5
 \end{aligned}$$

6
25% 75%

		2010	8	13		
1	"	"	"	"		
		"				
2	"		"	"	"	
3	"		"	"	" "	"

